Case 6.15-cv-06765-F

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Page 1 of 4

TRANSFEROR TRANS

Form **4473** (December 1968)

Alcohol and Tobacco Tax Division

FIREARMS TRANSACTION RECORD

	NOTE: Please read and carefully follow to	he instructions on the bac	k before making any ent	ry on the form.	
	SECTION A-	-DESCRIPTION O	F FIREARM		
1. TYPE (Pistol, rifle, etc.)	2. MODEL	3. CALIBI	R OR GAUGE	4. SERIAL N	UMBER
5. MANUFACTURER (And impo	rter, if any)		·		
	SECTION B-	STATEMENT OF 1	'RANSFERFF		
6. TRANSFEREE'S NAME			7. HEIGHT		
			7. HEIGHT	8. WEIGHT	9. RACE
10. ADDRESS (Number, street, c	ity, State, ZIP Code)		11. DATE OF BIRTH	12. PLACE O	F BIRTH
13. Transferee is to execute this item for an over-the-counter transaction if he resides in the State in which the	I certify that I am not prohil the Omnibus Crime Control and I receiving a firearm in interstate of	Safe Streets Act of 1	.968 (Public Law 90	f Title 18, United 3–351, as amende	States Code, or Title VII o
transferor's business Is located.	TRANSFEREE'S SIGNATURE			· · · · · · · · · · · · · · · · · · ·	DATE
14. Transferee is to execute this item if (a) he does not reside in the State in which the transferor's business is located, or (b) the transaction is not over-the-counter.	am 21 years or more of age, or the prohibited by the provisions of or foreign commerce, and that published ordinance applicable to principal law enforcement officer	Chapter 44 of Title my receipt of this for the locality in whice the locality in whice	18, United States irearm will not be th I reside. Furthe	Code, from rece in violation of a r. the true title.	iving a firearm in interstate my statute of the State and name, and address of the
:	• pp		(Address)	~~~~~	
	TRANSFEREE'S SIGNATURE				DATE
	SECTION C-	-VERIFICATION OF	DENTITY	· · · · · · · · · · · · · · · · · · ·	
	cribed in Section B has signed Iter elf to me in the following manner:	m 14 in my presence	, and 🗌 is known	15a. TYPE Officense, etc.)	F IDENTIFICATION (Driver's
				15b. NUMBER	ON IDENTIFICATION
16. VERIFYING OFFICIAL'S SIGNATURE					16a. DATE
16b. VERIFYING OFFICIAL'S TITI	LE	16c. LOCA	TION OF OFFICE (C	ity and State)	
	SECTION D-S	STATEMENT OF T	RANSFEROR		
On the basis of (1) the stateme Ordinances, it is my belief that	ents in Section B, (2) the verificatic the person named in Section B is I	on of identity in Sec awfully entitled to p	tion C, and (3) the urchase or otherwis	information in t e receive the fire	the current list of Published arm described in Section A.
17. TRANSFEROR'S SIGNATURE					17b. TRANSACTION DATE
17a. TRANSFEROR'S TITLE		***************************************			
NOTICE TO TRANSFEREES: The	following persons are prohibited from	m receiving a firearn	n in interstate or fo	reign commerce	under 18 U.S.C., Chapter 44

NOTICE TO TRANSFEREES: The following persons are prohibited from receiving a firearm in interstate or foreign commerce under 18 U.S.C., Chapter 44 and Title VII of Public Law 90–351, as amended, (18 U.S.C., Appendix): (1) fugitives from justice (any crime); (2) persons under indictment for, or who have been convicted of, a crime punishable for a term exceeding 1 year; (3) narcotic addicts or drug users; (4) persons adjudicated as mental defectives or mentally incompetent, or who have been committed to any mental institution; (5) veterans discharged under dishonorable conditions; (6) persons who have renounced U.S. Citizenship; (7) aliens illegally or unlawfully in the U.S.; and (8) persons under 21 years of age in the case of any firearm other than a shotgun or a rifle and under 18 years of age in the case of a shotgun or a rifle.

DEFINITIONS

- 1. Transferor—the person selling or otherwise disposing of the firearm.
- 2. Transferee—the person purchasing or otherwise acquiring the firearm.
- 3. Over-the-counter transaction—the actual and direct transfer of a firearm from the transferor to the transferee which occurs on the business premises of the transferor
- at the time and as the result of the sale or other disposition made of the firearm by the transferor to the transferee.
- 4. Published Ordinances—the publication issued annually by the Director, Alcohol and Tobacco Tax Division, which contains the published local ordinances determined by him to be relevant to the enforcement of Chapter 44 of Title 18, U.S.C. which were published in the Federal Register.

INSTRUCTIONS

- 1. All signatures required by this form must be entered in ink. All other entries in the form must be entered in ink or be typewritten.
- 2. Entries in the form will be made in the following manner:
- (a) The transferor of a firearm will, in every instance, complete Section A and Section D of the form. When the transaction is intrastate over-the-counter, the transferor will complete Items 15, 15a, and 15b. However, the transferor will not sign Section D of the form until he is satisfied that the transferee may legally receive and take possession of the firearm to be transferred.
- (b) The transferee of a firearm will, in every instance, complete Section B of the form and sign, as appropriate, either Item 13 or Item 14. Item 14 must be signed in the presence of the official who will execute Section C of the form.
- (c) Form 4473 will be prepared in duplicate and the transferee will have a notary public or a Federal, State, or local law enforcement officer complete and sign Section C of the form when:
 - the transfer of a firearm is to be made intrastate through the mail, or
 - (2) in the case of a rifle or shotgun, the transfer is to be made over-the-counter or through the mail when the transferee's State of residence is contiguous to the State in which the transferor's place of business is located.
- (d) The transferor, prior to the shipment or delivery of the firearm, under conditions in (c) above, must forward by registered or certified mail (return receipt re-

- quested) the copy of Form 4473 to the chief law enforcement officer of the transferee's locality of residence. The transferor must delay shipment or delivery of the firearm for a period of at least 7 days following receipt of the notification of the acceptance or nonacceptance of Form 4473. The transferor will retain as a part of the records required to be kept by Chapter 44 of Title 18, U.S.C., the original Form 4473, with evidence of the receipt or rejection of the notification forwarded to the chief law enforcement officer of the transferee's locality of residence.
- (e) When the transfer of a firearm is to be made to a corporation, company, association, partnership, or other business entity, an officer authorized to act on behalf of the business will complete and sign Section B of the form, and attach a written statement, executed under the penalties of perjury, stating
 - that the firearm is being acquired for the use of and will be the property of that business, and
 - (2) the name and address of that business.
- 3. The transferor of the firearm is responsible for determining the lawfulness of the transfer and for keeping proper records to record the transaction. Consequently, the transferor should be familiar with the provisions of the Gun Control Act of 1968 (U.S.C., Title 18, Chapter 44) and Title VII, Unlawful Possession of Receipt of Firearms, (82 Stat. 197), and Part 178, Commerce in Firearms and Certain Ammunition, Title 26, Code of Federal Regulations.
- 4. Upon completion of the firearm transaction, the transferor must make a part of his permanent firearms records the original Form 4473 recording that transaction and any supporting documents.

U. S. GOVERNMENT PRINTING OFFICE: 1968 O - 323-665

Form 4473 Part I Rev. June 1969 Department of the Treasury Internal Revenue Service Alcohol, Tobacco and Firearms Division

Firearms Transaction Record

Transferor transaction number

Intra-State Over-the-Counter

Notice:

Under 18 U.S.C. Chapter 44 and Title VII of Public Law 90-351, 18 U.S.C. Appendix 1201-1203, as amended, firearms may not be sold to or received by certain persons. The following information and certification are designed so that a person licensed under Chapter 44

may determine if he may lawfully sell or otherwise dispose of a firearm to the person identified in Section A, and to alert the transferee (buyer) of certain restrictions on the receipt and possession of firearms.

Transferee's (buyer's) name (last, first, middle) 2.	Height	2 Waight	4 D
(Mr., Mrs., Miss)	Height	3. Weight	4. Race
5. Address (number, street, city, state, Zip code)		6. Date of birth	7. Place of birth
I certify that: (1) I am neither under indictment for, nor been convicted of, a crime punishable by imprisonment term exceeding one year; (2) I am not a fugitive from j (3) I am not an unlawful user of, or addicted to marihuar depressant, stimulant or narcotic drug; (4) I have not adjudicated mentally defective and I have never been com	for a Armed Fustice; alien ille, a or a who, have theen his citize	orces under dishonorable gally in the United States ing been a citizen of the	not been discharged from the conditions; (6) I am not a s; and (7) I am not a person United States, has renounced
Transferee's (buyer's) signature		Date	
Section B—Statement of Transferor or Seller The person described in Section A, is known to me □, or	has identified hims	elf to me □ in the follow	ving manner:
	has identified hims	elf to me ☐ in the follow	
The person described in Section A, is known to me, or 8. Type of identification (driver's license, etc.) On the basis of: (1) the statements in Section A; (2) the cation of identity noted in Section B; and (3) the information	verifi- 'not unlav	9. Number on identi	fication fication for otherwise dispose of the
The person described in Section A, is known to me, or	verifi- 'not unlav	9. Number on identi	
The person described in Section A, is known to me, or 8. Type of identification (driver's license, etc.) On the basis of: (1) the statements in Section A; (2) the cation of identity noted in Section B; and (3) the information current list of Published Ordinances, it is my belief that 10. Type (pistol, rifle, etc.)	verifi- 'not unlav tion in firearm d at it is	9. Number on identi	fication r, or otherwise dispose of the erson identified in Section A
The person described in Section A, is known to me, or	verifi- 'not unlav tion in firearm d at it is	9. Number on identi	fication r, or otherwise dispose of the erson identified in Section A

Definitions

- 1. Intra-State Over-the-Counter Transaction—The sale or other disposition of a firearm by the transferor (seller) to a transferee (buyer), who is a resident of the State in which the transferor's business is located, occurring on the transferor's business premises.
- 2. Published Ordinances—The publication issued annually by the Director, Alcohol, Tobacco and Firearms Division, which contains the published local ordinances determined by him to be relevant to the enforcement of Chapter 44 of Title 18, U.S.C., which were published in the Federal Register.

Instructions

- 1. Only one Form 4473, Part 1, is required for each intra-State over-the-counter transaction.
- 2. All signatures required on this form must be in ink. All other entries on the form must be in ink or be type-written.
- 3. The transferor (seller) of a firearm will, in every instance, obtain the information required to complete Section A of the form and have the transferee (buyer) sign the certification in that Section.
- 4. When the transferee (buyer) of a firearm is a corporation, company, association, partnership or other such business entity, an officer authorized to act on behalf of the business may sign the certification in Section A of the form and attach a written statement, executed under the penalty of perjury, stating
 - (a) that the firearm is being acquired for the use of and will be the property of that business entity, and
 - (b) the name and address of that business entity.
- 5. The transferor (seller) of a firearm will, in every instance, complete Section B of the form.

- 6. If more than one firearm is involved, the identification required by Section B, Items 10 through 14, must be provided for each firearm. The identification of the firearms transferred in a transaction which covers more than one weapon may be on a separate sheet of paper, which must be attached to the form covering the transaction.
- 7. The transferor (seller) of the firearm is responsible for determining the lawfulness of the transaction and for keeping proper records of the transaction. Consequently, the transferor should be familiar with the provisions of the Gun Control Act of 1968 (U.S.C., Title 18, Chapter 44) and Title VII, Unlawful Possession or Receipt of Firearms, (82 Stat. 197), and Part 178, Commerce in Firearms and Certain Ammunition, Title 26, Code of Federal Regulations.
- 8. Upon completion of the firearm transaction, the transferor (seller) must make a part of his permanent firearms records the form recording that transaction and any supporting documents. Forms 4473, Part 1, and any supporting documents must be filed either chronologically by date of transaction, alphabetically by name of transferee (buyer), or numerically by transaction number if the transferor assigns transaction numbers to the forms.

Form 4473 Part I (Rev. June 1970)

Department of the Treasury Internal Revenue Service Alcohol, Tobacco and Firearms Division



Firearms Transaction kecord

Transferor transaction number

intra-State Over-the-Counter

NOTE: Please read and carefully follow the instructions on the back

6. Date of birth Are you a fugitive from justi Are you an unlawful user	7. Place of birth
Are you a fugitive from justi Are you an unlawful user	7. Place of birth
Are you an unlawful user	
Are you an unlawful user	
drug? ————————————————————————————————————	of, or addicted to, ma , stimulant, or narcon mentally defective or ha ed to a mental institution of from the Armed Force ons? ————————————————————————————————————
making of any false oral o of any false or misrepre o this transaction is a crime	esented identification wil
nself to me 🗌 in the follow	ving manner:
10. Number on identifica	ition
ful for me to sell, deliver, c escribed below to the perso	or otherwise dispose of the on identified in Section)
13. Caliber or gauge	14. Serial number
	Are you an alien illegally in Are you a person who, ha United States, has renounced making of any false oral of any false or misreprotation that transaction is a crime between the control of the contro

Notice:

Under 18 U.S.C. Chapter 44 and Title VII of Public Law 90-351, 18 U.S.C. Appendix 1201-1203, as amended, firearms may not be sold to or received by certain persons. The information and certification on this form are designed so that a person licensed under Chapter 44

may determine if he may lawfully sell or otherwise dispose of a firearm to the person identified in Section A, and to alert the transferee (buyer) of certain restrictions on the receipt and possession of firearms.

Definitions

1. Intra-State Over-the-Counter Transaction—The sale or other disposition of a firearm by the transferor (seller) to a transferee (buyer), who is a resident of the State in which the transferor's business is located, occurring on the transferor's business premises.

2. Published Ordinances—The publication issued annually by the Director, Alcohol, Tobacco and Firearms Division, which contains the published local ordinances determined by him to be relevant to the enforcement of Chapter 44 of Title 18, U.S.C., which were published in the Federal Register.

Instructions

- 1. Only one Form 4473, Part 1, is required for each intra-State over-the-counter transaction.
- 2. All signatures required on this form must be in ink. All other entries on the form must be in ink or be type-written.
- 3. The transferor (seller) of a firearm will, in every instance, obtain the information required to complete Section A of the form and have the transferee (buyer) sign the certification in that Section.
- 4. When the transferee (buyer) of a firearm is a corporation, company, association, partnership or other such business entity, an officer authorized to act on behalf of the business may sign the certification in Section A of the form and attach a written statement, executed under the penalty of perjury, stating
 - (a) that the firearm is being acquired for the use of and will be the property of that business entity, and
 - (b) the name and address of that business entity.
- 5. The transferor (seller) of a firearm will, in every instance, complete Section B of the form.

- 6. If more than one firearm is involved, the identification required by Section B, Items 11 through 15, must be provided for each firearm. The identification of the firearms transferred in a transaction which covers more than one weapon may be on a separate sheet of paper, which must be attached to the form covering the transaction.
- 7. The transferor (seller) of the firearm is responsible for determining the lawfulness of the transaction and for keeping proper records of the transaction. Consequently, the transferor should be familiar with the provisions of the Gun Control Act of 1968 (U.S.C., Title 18, Chapter 44) and Title VII, Unlawful Possession or Receipt of Firearms, (82 Stat. 197), and Part 178, Commerce in Firearms and Certain Ammunition, Title 26, Code of Federal Regulations.
- 8. Upon completion of the firearm transaction, the transferor (seller) must make a part of his permanent firearms records the form recording that transaction and any supporting documents. Forms 4473, Part 1, and any supporting documents must be filed either chronologically by date of transaction, alphabetically by name of transferee (buyer), or numerically by transaction number if the transferor assigns transaction numbers to the forms.

GPO 870-146

DEPAR ^í BUREAU OF ALC	OF THE TREASURY
FIREARMS TR	ANSACTION RECORD

FIREARMS TRANSACTIO	ON R	ECORD			3		
PÄRT I – INTRA-STATE OVER-1							
NOTE: Please read and carefully follow instructions on reverse. I	Prepare	an original o	nlv.				
SECTION A – TO BE COM	APLETE	D BY TRAN	SFEREE (OR BUYE	R		
1. TRANSFEREE'S (Buyer's) NAME (Last, First, Middle) (Mr., Mrs	s., Miss	.)	2. HEIGH		3. WEI	э нт	4. RACE
5. RESIDENCE ADDRESS (No., Street, City, State, Zip Code)			6. D	ATE OF	BIRTH	7. PLACE O	FBIRTH
			-	,	سو	`. -	
8. CERTIFICATION OF TRANSFEREE (Buyer) — An untruthfu must be answered with a "yes or a "no" inserted in the be	ul ansy oox at	ver may sub the right of	ject you the quest	to crimin	al prose	ecution. Eac	h question,
a. Are you under indictment or information in any court for a crime punishable by imprisonment for a term exceeding one year?	d. Are you an unlawful user of, or adore a term or a depressant, stimulant, or narco						uana,
b. Have you been convicted in any court of a crime punishable by imprisonment for a term exceeding one year? (Note: The actual sentence given by the judge does not matter—a yes answer is necessary if the judge could have		f. Have yo	u ever beer ou been dis	ted to a r	entally defective or mental institution?		
given a sentence of more than one year.)		g. Are you	ishonorabl an alien ii			ed States?	
Are you a fugitive from justice?			a person states, has			citizen of the	,
I hereby certify that the answers to the above are true and questions in the affirmative is prohibited by Federal law fr making of any false oral or written statement or the exhibi transaction is a crime punishable as a felony.	າດກາ ກາ	irchating an	diat mass	eccina a	FITABET	Talaa wada	
TRANSFEREE'S (Buyer's) SIGNATURE						DATE	
SECTION D. TO BE COME	21 5 7 5	D DV TD ANO					•
THE PERSON DESCRIBED IN SECTION A. IS	KNOV	NN TO ME					
9. TYPE OF IDENTIFICATION (Driver's License, etc.)		.WITED IN				LOWING MA	
On the basis of: (1) the statements in Section A; (2) the ver in the current list of Published Ordinances, it is my belief the of the firearm described below to the person identified in S	hat it	is not unlaw	ity noted	in Secti ne to sell,	on B; ar , deliver	nd (3) the in or otherwis	formation e dispose
11. TYPE (Pistol, rifle, etc.)	12.	MODEL		ALIBER	OR	14. SERIAL I	NO.
15. MANUFACTURER (and importer, if any)	<u> -</u>			1	RECE	VED	,
				SE	P18	1973	
16. TRADE/CORPORATE NAME AND ADDRESS OF TRANSFER	ROR (S	eller) (Hand s	tamp may	^{be} FC h.	MS		L FIREARMS NO.
·	-						
18. TRANSFEROR'S (Seller's) SIGNATURE		19. TRANSF	EROR'S	TITLE	·-···	-	20. TRANSAC- TION DATE

NOTICE:

Under 18 U.S.C. Chapter 44 and Title VII of Public Law 90-351, 18 U.S.C. Apendix 1201-1203, as amended, firearms may not be sold to or received by certain persons. The information and certification on this form are designed so that a person licensed under Chapter 44 may determine if he may lawfully sell or otherwise dispose of a firearm to the person identified in Section A, and to alert the transferee (buyer) of certain restrictions on the receipt and possession of firearms.

DEFINITIONS

- 1. Intra-State Over-the-Counter Transaction The sale or other disposition of a firearm by the transferor (seller) to a transferee (buyer), who is a resident of the State in which the transferor's business is located, occurring on the transferor's business premises.
- 2. Published Ordinances—The publication containing those State laws and local ordinances relevant to the enforcement of Chapter 44 of Title 18, U.S.C., which is annually published in the Federal Register and distributed to each Federal firearms licensee by the Director, Bureau of Alcohol, Tobacco and Firearms.

INSTRUCTIONS

- 1. Only one ATF Form 4473, Part I, is required for each intra-State over-the-counter transaction.
- 2. All signatures required on this form must be in ink. All other entries on the form must be in ink or be type-written.
- 3. The transferee (buyer) of a firearm will, in every instance, complete Section A of the form and certify (sign) that the answers are true and correct.

- 4. When the transferee (buyer) of a firearm is a corporation, company, association, partnership or other such business entity, an officer authorized to act on behalf of the business will sign the certification in Section A of the form and attach a written statement, executed under the penalty of perjury, stating
 - that the firearm is being acquired for the use of and will be the property of that business entity, and
 - (b) the name and address of that business entity.
- 5. The transferor (seller) of a firearm will, in every instance, complete Section B of the form.
- 6. If more than one firearm is involved, the identification required by Section B, Items 11 through 15, must be provided for each firearm. The identification of the firearms transferred in a transaction which covers more than one weapon may be on a separate sheet of paper, which must be attached to the form covering the transaction.
- 7. The transferor (seller) of the firearm is responsible for determining the lawfulness of the transaction and for keeping proper records of the transaction. Consequently, the transferor should be familiar with the provisions of the Gun Control Act of 1968 (U.S.C., Title 18, Chapter 44) and Title VII, Unlawful Possession or Receipt of Firearms, (82 Stat. 197), and Part 178, Commerce in Firearms and Certain Ammunition, Title 26, Code. of Federal Regulations.
- 8. Upon completion of the firearm transaction, the transferor (seller) must make a part of his permanent firearms records the form recording that transaction and any supporting documents. Forms 4473, Part I, and any supporting documents must be filed either chronologically by date of transaction, alphabetically by name of transferee (buyer), or numerically by transaction number if the transferor assigns transaction numbers to the forms.

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DEPAR :NT OF THE T BUREAU OF ALCOHOL, TOBACC FIREARMS TRANSACTI	O AND	FIREARMS		,		TRANSFER ACTION NO	OR'S TRANS-).	
PART I – INTRA-STATE OVER								
NOTE: Please read and carefully follow instructions on reverse.								
SECTION A - TO BE CO	MPLETE	D BY TRANS	SFER	EE OR BUYE	R			
1. TRANSFEREE'S (Buyer's) NAME (Last, First, Middle) (Mr., M	īrs., Miss	.)	2. HE	EIGHT	3. WEIG	ЭНТ	4. RACE	
5. RESIDENCE ADDRESS (No., Street, City, State, Zip Code)	· · · · · · · · · · · · · · · · · · ·			6. DATE OF	BIRTH	7. PLACE O	F BIRTH	
8. CERTIFICATION OF TRANSFEREE (Buyer) — An untruth must be answered with a "yes" or a "no" inserted in the	ful answ box at t	ver may subj the right of t	ect y the q	ou to crimir uestion:	ial prose	ecution. Eac	h question	
Are you under indictment or information in any court for a crime punishable by imprisonment for a term exceeding one year?		or a dep	ressan	it, stimulant,	or narcot			
b. Have you been convicted in any court of a crime punishable by imprisonment for a term exceeding one year? (Note: The actual sentence given by the judge does not		have you	u ever	been commit	ted to a r	tally defective or nental institution?		
matter—a yes answer is necessary if the judge could have given a sentence of more than one year.)		under di	shono	n discharged for discharged for discharged for discharged from the	ns?	e Armed Forces		
c. Are you a fugitive from justice?		h. Are you	a per:		ng been a	citizen of the		
I hereby certify that the answers to the above are true an questions in the affirmative is prohibited by Federal law making of any false oral or written statement or the exhitransaction is a crime punishable as a felony.	from nu	ct. I underst	tand	that a person	n who a	nswers any c	retand that the	
TRANSFEREE'S (Buyer's) SIGNATURE		-				DATE	-	
SECTION B - TO BE COM	APLETEC	BY TRANS	FERC	R OR SELLE	R			
THE PERSON DESCRIBED IN SECTION A:	IS KNOW HAS IDE	VN TO ME INTIFIED HI	MSEL	F TO ME IN	THE FOI	LOWING MA	NNER	
9. TYPE OF IDENTIFICATION (Driver's License, etc.)			1	O. NUMBER	ON IDE	TIFICATION		
On the basis of: (1) the statements in Section A; (2) the vin the current list of Published Ordinances, it is my belief of the firearm described below to the person identified in	that it i	is not unlaw	ity no	oted in Secti or me to sell	on B; ar , deliver	nd (3) the inition or otherwise	formation e dispose	
11. TYPE (Pistol, rifle, etc.)	12.	MODEL	1	3. CALIBER GAUGE	OR	14. SERIAL 1	۷٥.	
15. MANUFACTURER (and importer, if any)	l							
16. TRADE/CORPORATE NAME AND ADDRESS OF TRANSFE	ROR (Se	eller) (Hand st	amp 1	may be used)	- 1/4/4	17. FEDERA LICENSE		
18. TRANSFEROR'S (Seller's) SIGNATURE		19. TRANSF	ERO	R'S TITLE			20. TRANSAC- TION DATE	

INSTRUCTIONS

- 1. Only ONE ATF Form 4473, Part I, is required for each intra-State over-the-counter transaction. The form is retained by the seller and filed as indicated in Instruction 8.
- 2. All signatures required on this form must be in ink. All other entries on the form must be in ink or be typewritten.
- 3. The buyer (transferee) of a firearm will, in every instance, personally complete Section A of the form and certify (sign) that the answers are true and correct. If, because of inability of the buyer to read or write, the answers are written by another person, this person and another person will sign as witnesses to the buyer's answers and/or signature.
- 4. When the transferee (buyer) of a firearm is a corporation, company, association, partnership or other such business entity, an officer authorized to act on behalf of the business will sign the certification in Section A of the form and attach a written statement, executed under the penalty of perjury, stating
 - (a) that the firearm is being acquired for the use of and will be the property of that business entity, and
 - (b) the name and address of that business entity.

- 5. The transferor (seller) of a firearm will, in every instance, complete Section B of the form.
- 6. If more than one firearm is involved, the identification required by Section B, Items 11 through 15, must be provided for each firearm. The identification of the firearms transferred in a transaction which covers more than one weapon may be on a separate sheet of paper, which must be attached to the form covering the transaction.
- 7. The transferor (seller) of the firearm is responsible for determining the lawfulness of the transaction and for keeping proper records of the transaction. Consequently, the transferor should be familiar with the provisions of the Gun Control Act of 1968 (U.S.C., Title 18, Chapter 44) and Title VII, Unlawful Possession or Receipt of Firearms, (82 Stat. 197), and Part 178, Commerce in Firearms and Certain Ammunition, Title 26, Code of Federal Regulations.
- 8. Upon completion of the firearm transaction, the transferor (seller) must make a part of his permanent firearms records the form recording that transaction and any supporting documents. Forms 4473, Part I, and any supporting documents must be filed either chronologically by date of transaction, alphabetically by name of transferee (buyer), or numerically by transaction number if the transferor assigns transaction numbers to the forms.

NOTICE:

Under 18 U.S.C. Chapter 44 and Title VII of Public Law 90-351, 18 U.S.C. Appendix 1201-1203, as amended, firearms may not be sold to or received by certain persons. The information and certification on this form are designed so that a person licensed under Chapter 44 may determine if he may lawfully sell or otherwise dispose of a firearm to the person identified in Section A, and to alert the transferee (buyer) of certain restrictions on the receipt and possession of firearms.

THIS FORM SHOULD NOT BE USED FOR SALES OR TRANSFERS WHERE NEITHER PERSON IS LICENSED UNDER CHAPTER 44 OF TITLE 18 U.S.C.

- I. Intra-State Over-the-Counter Transaction The sale or other disposition of a firearm by the transferor (seller) to a transferee (buyer), who is a resident of the State in which the transferor's business is located, occurring on the transferor's business premises.
- 2. Published Ordinances— The publication containing those State laws and local ordinances relevant to the enforcement of Chapter 44 of Title 18, U.S.C., which is annually published in the Federal Register and distributed to each Federal firearms licensee by the Director, Bureau of Alcohol, Tobacco and Firearms.

DEPARTMENT OF THE TE SUREAU OF ALCOHOL, TOBACCE	REASURY O AND FIREARMS		······································	TRANS	FEROR S TRANS-		
FIREARMS TRANSACTIO							
PART I - INTRA-STATE OVER-							
NOTE: Please read and carefully follow instructions on reverse			· · · · · · · · · · · · · · · · · · ·				
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1. TRANSFEREE'S (Buyer's) NAME (Last, First, Middle) (Mr., M.	frs , Miss.)	2 HEIGHT		EIGHT	4 PACE		
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5. RESIDENCE ADDRESS (No., Street, City, State, Zip Code)		IS DATE	35 515 71				
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8. CERTIFICATION OF TRANSFEREE (Buyer) — An untruthf must be answered with a "yes" or a "no" inserted in the	ul answer may subject of	ect you to cri the question	minal pro	secution.	Each question		
Are you under indictment or information in any court for a crime punishable by suprisonment for a term exceeding one year?	d. Are you a or a depre	n unlawful user Ssant, stimuliant	of, or oddie , ot narcon	e drug?	itihuzna,		
Have you been convicted in any court of a crime punishable by imprisonment for a term exceeding one year? (Note: The actual sentence given by the judge does not	e. Have you have you	ever been adjud wer been comm	icated men littert to a n	tally detecti tental insti	The or Lution?		
matter-a yes answer is necessary if the judge could have given a sentence of more than one year.)	anter disti	ocen discharged onurable condi	tions?				
	g. Are you an	alien illegally is	n the United	d States!			
Are you a fugitive from justice?		person who, haves, has renounced					
hereby certify that the answers to the above are true and causinoss in the affirmative is prohibited by Federal law from thing of any fulse oral or written statement or the exhibiter ansaction is a crime punishable as a felony. RANSPEREE'S (Buyer's) SIGNATURE	in purchasing and/cing of any false or r	Marapresonted	a who ans i firearm, i I identific	wers any Lalso und ation with	of the above erstand that the respect to this		
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quired. A Social Security card is not considered positive identification	tification is re- ation.)	10. NUMB	ER ON IDE	NTIFICA	TION		
n the basis of: (1) the statements in Section A; (2) the veri- the current list of Published Ordinances, it is my belief the the fitearm described below to the person identified in Se		noted in Sect for me to sel	ion B; and I, deliver c	l (3) the i	nformation ise dispose		
i. TYPE (Pistoi, rifle, stc.)	12. MODEL .	13. CALIBS GAUGE		14. SERIJ	AL NO.		
. MANUFACTURER fand importer, if any)							
. TRADE/CORPORATE NAME AND ADDRESS OF TRANSFER(used)	QR (Seller) (Hand star	rip may be	1	RAL FIR NSE NO.	EARMS		
. TRANSFEROR'S <i>(Seller's)</i> SIGNATURE	19. TRANSFERO	R'S TITLE			TRANSACTION DATE		
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INSTRUCTIONS

- 1. Only ONE ATF Form 4473, Part I, is required for each intra-State over-the-counter transaction. The form is retained by the seller and filed as indicated in Instruction 8.
- All signatures required on this form must be in ink. All other entries on the form must be typewritten or be printed in ink.
- 3. The buyer (transferze) of a firearm will, in every instance, personally complete Section A of the form and certify (sign) that the answers are true and correct. If, because of inability of the buyer to read or write, the answers are written by another person, this person and another person will sign as witnesses to the buyer's answers and/or signature. Should the buyer's name be illegible, the dealer shall print the buyer's name above the name printed by the buyer.
- 4. When the transferce (buyer) of a firearm is a corporation, company, association, partnership or other such business entity, an officer authorized to act on behalf of the business will sign the certification in Section A of the form and attach a written statement, executed under the penalty of perjury, stating
 - (a) that the firearm is being acquired for the use of and will be the property of that business entity, and
 (b) the name and address of that business entity.
- 5. The transferor (seller) of a firearm will, in every instance, complete Section B of the form.
- 6. If more than one firearm is involved, the identification required by Section B, Items 11 through 15, must be

- provided for each firearm. The identification of the firearms transferred in a transaction which covers more than one weapon may be on a separate sheet of paper, which must be attached to the form coverng the transaction.
- 7. The transferor (seller) of the firearm is responsible for determining the lawfulness of the transaction and for keeping proper records of the transaction. Consequently, the transferor should be familiar with the provisions of the Gun Control Act of 1968 (U.S.C., Title 18, Chapter 44) and Title VII, Unlawful Possession or Receipt of Firearms, (82 Stat. 197), and 27 CFR Part 178, (Commerence in Firearms and Ammunition).
- 8. Upon completion of the firearm transaction, the transferor (seller) must make a part of his permanent firearms records the form recording that transaction and any supporting documents. Forms 4473, Part I, and any supporting documents must be filed either chronologically by date of transaction, alphabetically by name of transferee (buyer), or numerically by transaction number if the transferor assigns transaction numbers to the forms
- 9. In addition to completing this record, the licensee shall report any multiple sale or other disposition of pistols or revolvers on ATF F 3310.4, in accordance with 27 CFR 178.126a.
- 10. Additional forms are available from:

Burean of Alcohol, Tobacco and Firearms ATF Distribution Center 3800 S. Four Mile Run Drive Arlington, Virginia 22206

NOTICE:

Under 18 U.S.C. Chapter 44 and Title VII of Public Law 90-351, 18 U.S.C. Appendix 1201-1203, as amended, firearms may not be sold to or received by certain persons. The information and certification on this form are designed so that a person licensed under Chapter 44 may determine if he may lawfully sell or otherwise dispose of a firearm to the person identified in Section A, and to alert the transferee (buyer) of certain restrictions on the receipt and possession of firearms.

THIS FORM SHOULD NOT BE USED FOR SALES OR TRANSFERS WHERE NEITHER PERSON IS LICENSED UNDER CHAPTER 44 OF TITLE 18 U.S.C.

- 1. Intra-State Over-the-Counter Transaction The sale of other disposition of a firearm by the transferor (seller) to a transferee (buyer), who is a resident of the state in which the transferor's business is located, occurring on the transferor's business premises.
- 2. Published Ordinances The publication containing those State laws and local ordinances relevant to the enforcement of Chapter 44 of Title 18, U.S.C., which is annually published in the Federal Register and distributed to each Federal firearms licensee by the Director, Burgau of Alcohol, Tobacco and Firearms.

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DEPARTMENT OF THE TREASURY BUREAU OF ALCOHOL, TOBACCO AND FIREARMS

IRFARMS TRANSACTION DECORD

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ΔC	TION	NO			

FIREARMS TRANSACTION	I RECORD		1				
PART I – INTRA-STATE OVER-T	HE-COUNTER						
NOTE: Please read and carefully follow instructions on reverse.							
SECTION A — MUST BE COMPLETED PERS	ONALLY BY TRAI				•		
1. TRANSFEREE'S (Buyer's) NAME (Last, First, Middle) (Mr., Mrs	s., Miss.)	2. HEIGHT	3. WE1		4. RACE		
· · · · · · · · · · · · · · · · · · ·					,		
5. RESIDENCE ADDRESS (No., Street, City, State, Zip Code)		6. DATE OF	BIRTH	7. PLACE C	OF BIRTH		
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8. CERTIFICATION OF TRANSFEREE (Buyer) — An untruthful must be answered with a "yes" or a "no" inserted in the l	I answer may subj box at the right o	ject you to crimin of the question:	nal prose	cution. Ea	ich question		
a. Are you under indictment or information in any court for a crime punishable by imprisonment for a term exceeding one year?		an unlawful user of, essant, stimulant, o			luana,		
b. Have you been convicted in any court of a crime punishable by imprisonment for a term exceeding one year? (Note: The actual sentence given by the judge does not	e. Have you have you	ever been adjudica ever been committe	ted menta ed to a me	lly defective or ental institution?			
matter—a yes answer is necessary if the judge could have given a sentence of more than one year. Also, a "yes" answer is required if a conviction has been discharged.	under dis	f. Have you been discharged from the Armed Forces under dishonorable conditions?					
set aside, or dismissed pursuant to an expungement or rehabilitation statute.)		in alien illegally in th		•			
c. Are you a fugitive from justice?	United St	a person who, havin tates, has renounced	d his citize:	enship?			
I hereby certify that the answers to the above are true and conquestions in the affirmative is prohibited by Federal law from making of any false oral or written statement or the exhibiting transaction is a crime punishable as a felony.	m purchasing and/	or possessing a fi	firearm. L	also unders	stand that the		
TRANSFEREE'S (Buyer's) SIGNATURE				DATE	•		
SECTION B – TO BE COMP	PLETED BY TRAN	SFEROR (SELLEF					
	OWN TO ME DENTIFIED HIMSEI	I F TO ME IN THE	FOLLOW	UNG MANN	IED		
 TYPE OF IDENTIFICATION (Driver's License, etc. Positive identifical quired. A Social Security card is not considered positive identifical positive. 	tification is re-	10. NUMBER					
On the basis of: (1) the statements in Section A; (2) the verifing the current list of Published Ordinances, it is my belief that of the firearm described below to the person identified in Section 1.	at it is not unlawfi	y noted in Section ul for me to sell,	on B; and deliver of	(3) the information of the rotherwise	ormation dispose		
11. TYPE (Pistol, rifle, etc.)	12. MODEL	13. CALIBER GAUGE	OR 1	14. SERIAL	_ NO,		
15. MANUFACTURER (and importer, if any)							
16. TRADE/CORPORATE NAME AND ADDRESS OF TRANSFEROused)	DR (Seller) (Hand si	amp may be		ERAL FIREA NSE NO.	ARMS		
18. TRANSFEROR'S (Seller's) SIGNATURE	19. TRANSFER	OR'S TITLE			RANSACTION ATE		

ATF FORM 4473 - PT 1 (3-76) EDITION OF 10-75 MAY BE USED

INSTRUCTIONS

- 1. Only ONE ATF Form 4473, Part I, is required for each intra-State over-the-counter transaction. The form is retained by the seller and filed as indicated in Instruction 8.
- 2. All signatures required on this form must be in ink. All other entries on the form must be typewritten or be printed in ink.
- 3. The buyer (transferee) of a firearm will, in every instance, personally complete Section A of the form and certify (sign) that the answers are true and correct. If, because of inability of the buyer to read or write, the answers are written by another person, this person and another person will sign as witnesses to the buyer's answers and/or signature. Should the buyer's name be illegible, the dealer shall print the buyer's name above the name printed by the buyer.
- 4. When the transferee (buyer) of a firearm is a corporation, company, association, partnership or other such business entity, an officer authorized to act on behalf of the business will sign the certification in Section A of the form and attach a written statement, executed under the penalty of perjury, stating
- (a) that the firearm is being acquired for the use of and will be the property of that business entity, and (b) the name and address of that business entity.
- 5. The transferor (seller) of a firearm will, in every instance, complete Section B of the form.
- 6. If more than one firearm is involved, the identification required by Section B, Items 11 through 15, must be

- provided for each firearm. The identification of the firearms transferred in a transaction which covers more than one weapon may be on a separate sheet of paper, which must be attached to the form covering the transaction.
- 7. The transferor (seller) of the firearm is responsible for determining the lawfulness of the transaction and for keeping proper records of the transaction. Consequently, the transferor should be familiar with the provisions of the Gun Control Act of 1968 (U.S.C., Title 18, Chapter 44) and Title VII, Unlawful Possession or Receipt of Firearms, (82 Stat. 197), and 27 CFR Part 178, (Commerence in Firearms and Ammunition).
- 8. Upon completion of the firearm transaction, the transferor (seller) must make a part of his permanent firearms records the form recording that transaction and any supporting documents. Forms 4473, Part I, and any supporting documents must be filed either chronologically by date of transaction, alphabetically by name of transferee (buyer), or numerically by transaction number if the transferor assigns transaction numbers to the forms.
- 9. In addition to completing this record, the licensee shall report any multiple sale or other disposition of pistols or revolvers on ATF F 3310.4, in accordance with 27 CFR 178.126a.
- 10. Additional forms are available from:

Bureau of Alcohol, Tobacco and Firearms ATF Distribution Center 3800 S. Four Mile Run Drive Arlington, Virginia 22206

NOTICE:

Under 18 U.S.C. Chapter 44 and Title VII of Public Law 90-351, 18 U.S.C. Appendix 1201-1203, as amended, firearms may not be sold to or received by certain persons. The information and certification on this form are designed so that a person licensed under Chapter 44 may determine if he may lawfully sell or otherwise dispose of a firearm to the person identified in Section A, and to alert the transferee (buyer) of certain restrictions on the receipt and possession of firearms.

THIS FORM SHOULD NOT BE USED FOR SALES OR TRANSFERS WHERE NEITHER PERSON IS LICENSED UNDER CHAPTER 44 OF TITLE 18 U.S.C.

- 1. Intra-State Over-the-Counter Transaction The sale or other disposition of a firearm by the transferor (seller) to a transferee (buyer), who is a resident of the state in which the transferor's business is located, occurring on the transferor's business premises.
- 2. Published Ordinances The publication containing those State laws and local ordinances relevant to the enforcement of Chapter 44 of Title 18, U.S.C., which is annually published in the Federal Register and distributed to each Federal firearms licensee by the Director, Bureau of Alcohol, Tobacco and Firearms.

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DEPARTMENT OF THE TREASUR SUREAU OF FIREARMS TRANSACTION PART I - INTRASTATE OVER	ON RECORD		О А	ND FL A	RMS	FRANSFEROR' NO.	S TRANSACTION		
NOTE: Prepare in original only. All entries other than sign ink.	atures must b	e typed or c	learly	printed in i	ink. All si	gnatures on this	form must be in		
		and the second		, no electrication of the	and the second second	有限的信仰者的作品的数字形式的复数形式的	1 2 177		
SECTION A — MUST BE COMPLETED PERSONALLY BY 1. TRANSFEREE'S (Buyer's) NAME (Last, First, Middle) (N	A Mys Miss	E (BUYER)	{See						
)	5 13 1 4-13	2. HEIGHT		. WEIGHT	4. RACE		
5. RESIDENCE ADDRESS (No., Street, City, State, Zip cod	(e)			6. DATE O	FBIRTH	7. PLACE OF	ВІЯТН		
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 CERTIFICATION OF TRANSFERREE (Buyer) — An unt swered with a "yes" or a "no" inserted in the box at the ri 	ruthful answe ght of the que	er may subje estion:	ct yo	u to crimina	l prosecut	ion. Each quest	ion must be an-		
a. Are you under indictment or information in any court for a crime punishable by imprisonment for a term exceeding one year?		d. Are you or a depr	an ur essan	ilawful user it, stimulant	of, or add , or narco	icted to, mariju tic drug?	ana "		
b. Have you been convicted in any court of a crime punish-		Have you	ever	been adjud	icated me	tally defective o	or		
able by imprisonment for a term exceeding one year?		nave you	ever	Deen comm	itted to a	mental institutio	on?		
(Note: The actual sentence given by the judge does not matter—a yes answer is necessary if the judge could have given a sentence of more than one year. Also, a "yes"		. Have you under dis	beer hono	discharged rable condit	from the	Armed Forces	med Forces		
answer is required if a conviction has been discharged, set aside, or dismissed pursuant to an expungement of rehabilitation statute.)		}. Are you	an ali	en illegally i	n the Unit	ed States?			
c. Are you a fugitive from justice?		i. Are you a	pers	on who, ha	ving been	ocitizen of the zenship?			
FRANSFEREE'S (Buver's) SIGNATURE		<u>. </u>	· ·		. 3 **	DATE			
ECTION B — TO BE COMPLETED BY TRANSFEROR (SEL	LEDY (See M	otice and Inc				W. A. 12 2000 1			
HE PERSON DESCRIPED IN SECTION A	S KNOWN T	OME				OWING MANN			
. TYPE OF IDENTIFICATION (Driver's License, etc. Positiv A Social Security card is not considered positive identificati	ne identificati	on is require				NTIFICATION	The second of the second of the second of the		
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On the basis of: (1) the statements in Section A; (2) the verific ublished Ordinances, it is my belief that it is not unlawful for dentified in Section A.	ation of iden me to sell, de	tity noted in liver or othe	Sect rwise	ion B; and (dispose of t	3) the info the firearm	ormation in the described below	current list of w to the person		
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5. MANUFACTURER (and importer, if any)			•						
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IMPORTANT NOTICES TO TRANSFEROR (SELLER) AND TRANSFEREE (BUYER)

- 1... Under 18 U.S.C. Chapter 44 and Title VII of Public Law 90-351, 18 U.S.C. Appendix 1201-1203, as amended, firearms may not be sold to or received by certain persons. The information and certification on this form are designed so that a person licensed under Chapter 44 may determine if he may lawfully sell, deliver or transport a firearm to the person identified in Section A, and to alert the transferee (buyer) or certain restrictions on the receipt and possession of firearms. This form should not be used for sales of transfers where neither person is licensed under 18 U.S.C. Chapter 44.
- The transferse (buyer) of a firearm should be familiar with the provisions of law. Generally, 18 U.S.C. Chapter 44 prohibits the shipment, transportation or receipt in interstate

commerce of a firearm by one who is under indictment or information for, or who has been convicted of a crime punishable by imprisonment for a term exceeding one year, by one who is a fugitive from justice, by one who is an unlewful user of, or addicted to marijuana or a depressant, srimulant or narcotic drug, or by one who has been adjudicated mentally defective or who has been committed to a mental institution. In addition, Title VII (18 U.S.C. Appendix 1201-1203) makes it unlewful for anyone who has been convicted of a crime punishable by imprisonment for a term exceeding one year, who has been discharged from the Armed Forces under dishonorable conditions, who has been adjudicated mentally incompetent, who, having been a citizen of the United States, has renounced his citizenship, or who is an alien illegally in the United States, to possess a firearm.

INSTRUCTIONS TO TRANSFEREE (BUYER)

- 1, The buyer (transferee) of a firearm will, in every instance, personally complete Section A of the form and certify (sign) that the answers are true and correct. If, because of inability of the buyer to read or write, the answers are written by another person, this person and another person will sign as witnesses to the buyer's answers and/or signature.
- 2. When the transferee (buyer) of a firearm is a corporation, company, association, partnership or other such

business entity, an officer authorized to act on behalf of the business will complete and sign Section A of the form and attach a written statement, executed under the penalty of perjury, stating

- that the firearm is being acquired for the use of and will be the property of that business entity,
- (b) the name and address of that business entity.

INSTRUCTIONS TO TRANSFEROR (SELLER)

- Should the buyer's name be illegible the seller shall print the buyer's name above the name printed by the buyer.
- 2. The transferor (seller) or a firearm will, in every instance, complete Section B of the form.
- 3. If more than one firearm is involved, the identification required by Section B, Items 11 through 15, must be provided for each firearm. The identification of the firearms transferred in a transaction which covers more than one weapon may be on a separate sheet of paper which must be attached to the form covering the transaction.
- The transferor (seller) of the firearm is responsible for determining the lawfulness of the transaction and for keeping proper records of the transaction. Consequently, the transferor should be familiar with the provisions of the Gun Control Act of 1968 (18 U.S.C. Chapter 44) and Title VII, Untawful Possession or Receipt of Firearms, (82 Stat. 197), and 27 CFR Part 178 (Commerce in Firearms and Ammunition).
- 5. Upon completion of the firearm transaction, the transferor (seller) must make a part of his permanent firearms recrods the Form 4473 (5300.9) Part I recording that transaction and any supporting documents. Form 4473 (5300.9) Part I and any supporting documents must be filed either chronologically by date of transaction, alphabetically by name of transferee (buyer), or numerically by transaction number if the transferor assigns transaction numbers to Form 4473 (5300.9) Part I.
- 6. In addition to completing this record, the licensee shall report any multiple sale or other disposition of pistols or revolvers on ATF F 3310.4, in accordance with 27 CFR 178.126a.
- Additional forms are available from:

Bureau of Alcohol, Tobacco and Firearms ATF Distribution Center 3800 S. Four Mile Run Drive Arlington, Virginia 22206

DEFINITIONS

- I. Intra-State Over-the-Counter Transaction The sale or other disposition of a firearm by the transferor (seller) to a transferee (buyer), who is a resident of the state in which the transferor's business is located, occurring on the transferor's business premises.
- 2. Published Ordinances The publication (ATF P 5300.5) containing those State laws and local ordinances relevant to the enforcement of Chapter 44 of the Title 18, U.S.G., which is annually published in the Federal Register and distributed to each Federal firearms licensee by the Director, Bureau of Alcohol, Tobacco and Firearms.

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DEPARTMENT OF THE TREASURY AU OF ALCOHOL, TOBACCO AND FIREARMS TRANSFEROR'S TRANSACTION FIREARMS INANSACTION RECORD PART I - INTRA-STATE OVER-THE-COUNTER Prepare in original only. All entries other than signatures must be typed or clearly printed in ink. All signatures on this form must be in SECTION A — MUST BE COMPLETED PERSONALLY BY TRANSFEREE (BUYER) (See Notice and Instructions on reverse.) 1. THANSFEREE'S (Buyer's) NAME (Last, First, Middle) (Mr., Mrs., Miss) 2. HEIGHT 4. RACE 5. RESIDENCE ADDRESS (No., Street, City, State, Zip code) 6. DATE OF BIRTH 7. PLACE OF BIRTH 8. CERTIFICATION OF TRANSFEREE (Buyer) - An untruthful answer may subject you to criminal prosecution. Each question must be answered with a "yes" or a "no" inserted in the box at the right of the question: Are you under indictment or information in any court for d. Are you an unlawful user of, or addicted to, marijuane, a crime punishable by imprisonment for a term exceeding or a depressant, stimulant, or narcotic drug? one year? Have you ever been adjudicated mentally defective or Have you been convicted in any court of a crime punishhave you ever been committed to a mental institution? able by imprisonment for a term exceeding one year? (Note: The actual sentence given by the judge does not Have you been discharged from the Armed Forces matter—a yes answer is necessary if the judge could have under dishonorable conditions? given a sentence of more than one year. Also, a "yes" answer is required if a conviction has been discharged, set aside, or dismissed pursuant to an expungement of Are you an allen illegally in the United States? rehabilitation statute.) Are you a fugitive from justice? Are you a person who, having been a citizen of the United States, has renounced his citizenship? I hereby certify that the answers to the above are true and correct. I understand that a person who answers any of the above questions in the affirmative is prohibited by Federal law from purchasing and/or possessing a firearm, I also understand that the making of any false oral or written statement or the exhibiting of any false or misrepresented identification with respect to this transaction is a crime punishable as a felony. TRANSFEREE'S (Buyer's) SIGNATURE SECTION B - TO BE COMPLETED BY TRANSFEROR (SELLER) (See Notice and Instructions on reverse.) IS KNOWN TO ME THE PERSON DESCRIBED IN SECTION A: ☐ HAS IDENTIFIED HIMSELF TO ME IN THE FOLLOWING MANNER TYPE OF IDENTIFICATION (Driver's License, etc. Positive identification is required. 10 NUMBER ON IDENTIFICATION A Social Security card is not considered positive identification.) On the basis of: (1) the statements in Section A; (2) the verification of identity noted in Section B; and (3) the information in the current list of Published Ordinances, it is my belief that it is not unlawful for me to sell, deliver or otherwise dispose of the firearm described below to the person identified in Section A. 11. TYPE (Pistol, Revolver, Rifle, Shotgun, etc.) 12. MODEL 13. CALIBER OR GAUGE 15. MANUFACTURER (and importer, if any) 16. TRADE/CORPORATE NAME AND ADDRESS OF TRANSFEROR (Setter) 17. FEDERAL FIREARMS LICENSE NO. (Hand stamp may be used.) 18. TRANSFEROR'S (Seller's) SIGNATURE 19. TRANSFEROR'S TITLE 20. TRANSACTION DATE 化二次数字字列 医电子动脉管 医甲基甲基

IMPORTANT NOTICES TO TRANSFEROR (SELLER) AND TRANSFEREE (BUYER)

- 1. Under 18 U.S.C. Chapter 44 and Title VII of Public Law 90-351, 18 U.S.C. Appendix 1201-1203, as amended, firearms may not be sold to or received by certain persons. The information and certification on this form are designed so that a person licensed under Chapter 44 may determine if he may lawfully sell, deliver or transport a firearm to the person identified in Section A, and to alert the transferee (buyer) or certain restrictions on the receipt and possession of firearms. This form should not be used for sales of transfers where neither person is licensed under 18 U.S.C. Chapter 44,
- 2. WARNING The sale or delivery of a firearm by a licensee to an eligible purchaser who is acting as an agent, intermediary, or 'straw purchaser' for someone whom the licensee knows or has reasonable cause to believe is ineligible to purchase a firearm directly, may result in a violation of the Federal firearm laws.

Bara Barangan

The transferee (buyer) of a firearm should be familiar with the provisions of law. Generally, 18 U.S.C. Chapter 44 prohibits the shipment, transportation or receipt in interstate commerce of a firearm by one who is under indictment or information for, or who has been convicted of a crime punishable by imprisonment for a term exceeding one year, by one who is a fugitive from justice, by one who is an unlawful user of, or addicted to marijuana or a depressant, stimulant or narcotic drug, or by one who has been adjudicated mentally defective or who has been committed to a mental institution. In addition, Title VII (18 U.S.C. Appendix 1201-1203) makes it unlawful for anyone who has been convicted of a crime punishable by imprisonment for a term exceeding one year, who has been discharged from the Armed Forces under dishonorable conditions, who has been adjudicated mentally incompetent, who, having been a citizen of the United States, has renounced his citizenship, or who is an alien illegally in the United States, to possess a firearm.

INSTRUCTIONS TO TRANSFEREE (BUYER)

- The buyer (transferee) of a firearm will, in every instance, personally complete Section A of the form and certify (sign) that the answers are true and correct. If, because of inability of the buyer to read or write, the answers are written by another person, this person and another person will sign as witnesses to the buyer's answers and/or signature.
- 2. When the transferee (buyer) of a firearm is a corporation, company, association, partnership or other such

business entity, an officer authorized to act on behalf of the business will complete and sign Section A of the form and attach a written statement, executed under the penalty of perjury, stating

- that the firearm is being acquired for the use of and will be the property of that business entity, and
- (b) the name and address of that business entity.

INSTRUCTIONS TO TRANSFEROR (SELLER)

- 1. Should the buyer's name be illegible the seller shall print the buyer's name above the name printed by the buyer.
- 2. The transferor (seller) or a firearm will, in every instance, complete Section B of the form.
- 3. If more than one firearm is involved, the identification required by Section B, Items 11 through 15, must be provided for each firearm. The identification of the firearms transferred in a transaction which covers more than one weapon may be on a separate sheet of paper which must be attached to the form covering the transaction.
 - 4. The transferor (seller) of the firearm is responsible for determining the lawfulness of the transaction and for keeping proper records of the transaction. Consequently, the transferor should be familiar with the provisions of the Gun Control Act of 1968 (18 U.S.C. Chapter 44) and Title VII, Unlawful Possession or Receipt of Firearms, (82 Stat. 197), and 27 CFR Part 178 (Commerce in Firearms and Ammunition).
- 5. Upon completion of the firearm transaction, the transferor (seller) must make a part of his permanent firearms recrods the Form 4473 (5300.9) Part I recording that transaction and any supporting documents. Form 4473 (5300.9) Part I and any supporting documents must be filed either chronologically by date of transaction, alphabetically by name of transferee (buyer), or numerically by transaction number if the transferor assigns transaction numbers to Form 4473 (5300.9) Part I.
- 6. In addition to completing this record, the licensee shall report any multiple sale or other disposition of pistols or revolvers on ATF F 3310.4, in accordance with 27 CFR 178.126a.
- Additional forms are available from:

Bureau of Alcohol, Tobacco and Firearms ATF Distribution Center 3800 S. Four Mile Run Drive Arlington, Virginia 22206

- 1. Intra-State Over-the-Counter Transaction The sale or other disposition of a firearm by the transferor (seller) to a transferee (buyer), who is a resident of the state in which the transferor's business is located, occurring on the transferor's business premises.
- 2. Published Ordinances The publication (ATF P 5300.5) containing those State laws and local ordinances relevant to the enforcement of Chapter 44 of the Title 18, U.S.C., which is annually published in the Federal Register and distributed to each Federal firearms licensee by the Director, Bureau of Alcohol, Tobacco and Firearms.

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DEPARTMENT OF THE	TREASUR . BUREAU OF A	LCOHOL	TORACCOAL	ND EIBEAB				
,	REARMS TRANSACTI — INTRA-STATE OVE	UNKE	UUKII		NO.	NSFEROR'S	TRANSAC	NOITS
NOTE: Prepare in original only ink.	y. All entries other than signat	ures must	be typed or cle	early printed in ink.	All signs	atures on this	form must	be in
SECTION A - MUST BE COMP	LETED PERSONALLY BY TE	ANGEER	EE /BINED)					
1. TRANSFEREE'S (Buyer's) NA	AME (Lost First Middle) (Mr	Men Min	EE (BUTER) (
1	in the state of th	1415., 1415	8)	2. HEIGHT	3. WE	∃IGHT	4. RACE	
5. RESIDENCE ADDRESS (No.,	St. I St. St.							
January Transfer in B.,	Street, City, State, Zip code)			6. DATE OF BII	RTH 7	. PLACE OF	BIRTH	
				-	.			
					1			
		•			ŀ			
8. CERTIFICATION OF TRANS answered with a "yes" or a "no	FEREE (Buyer) — An untruthly inserted in the box at the rig	ful answei	may subject ye	ou to criminal prose	cution.	Each question	n must be	
a. Are you under indictment or in			T					·
a crime punishable by imprison	ment for a term exceeding		or a decres	unlawful user of, o sant, stimulant, or r	r addicte	d to, marijua	na,	
one year? *A formal accusation	of a crime made by a		J. 2 dopies	vant, stimulant, or i	iaicotic d	rugr		
prosecuting attorney, as disting presented by a grand jury.	uished from an indictment							
		[e. Have you e	ver been adjudicate	d mentall	y defective o	or	•
b. Have you been convicted in any by imprisonment for a term ex	ceeding one year? /Norm: The		have you ev	ver been committed	to a men	ital institutio	n?	•
actual sentence given by the jud	dge does not matter—a vec			<u> </u>	· · · · · · · · · · · · · · · · · · ·			
answer is necessary if the judge	could have given a sentence		f. Have you b	een discharged from	n the Arn	ned Forces un	nder	
of more than one year. Also, a conviction has been discharged,	"yes" answer is required if a		disnonorab	le conditions?				
suant to an expungement or rel	set aside, or dismissed pur- sabilitation statute.)		g. Are you an	alien illegally in the	United S	States?		
c. Are you a fugitive from justice?	,		h. Are you a p United Stat	erson who, having les, has renounced h	been a cit	izen of the		
I haraby specification of			Omited blat	es, has remounited in	ils citizen:	snip? -		
I hereby certify that the answers t mative is prohibited by Federal lay	o the above are true and correct	et. I unde	rstand that a pe	erson who answers a	my of the	above quest	ions in the	affir-
mative is prohibited by Federal last statement or the exhibiting of any	false or misrepresented identif	essing a fil	rearm. I also ur	nderstand that the r	naking of	any false ora	al or writter	n
TRANSFEREE'S (Buyer's) SIGN	ATURE	700001017	respect to ti	ins transaction is a c			elony.	
-					lo	ATE		
					Į			
SECTION B - TO BE COMPLETE	ED BY TRANSFEROR (SELL)	FR) (Sac.	Matina and Init					
		KNOWN T		ructions on reverse.	<u> </u>			
THIS PERSON DESCRIBED IN S	ECTION A:	SIDENT	FIED HIMSEL	F TO ME IN THE		ING MANN	ER ·	
 TYPE OF IDENTIFICATION (identification is required. A Soc 	Oriver's License, etc. Positive ial Security card is not conside		10. NUMBER (ON IDENTIFICATI	ON			
positive identification.)	tar country cara is not conside.	rea		•	•			- 1
								1
On the basis of: (1) the								ı
On the basis of: (1) the statement Published Ordinances, it is my beli identified in Section A.	s in Section A; (2) the verificat ef that it is not unlawful for mo	ion of ide e to sell, d	ntity noted in S leliver or otherv	Section B; and (3) t wise dispose of the f	he inform firearm de	nation in the escribed belo	current list w to the pe	of rson
11. TYPE (Pistol, Revolver, Rifle,	12. MODEL	13. C	ALIBER OR	14. SERIAL NO.			***	
Shotgun, etc.)			AUGE					
15. MANUFACTURER (and impo	rter, if any)			•		****		
	•			•				ĺ
16. TRADE/CORPORATE NAME	AND ADDRESS OF TRANSF	EROR (S	eller)	17. FEDERAL FI	REARMS	LICENCE N	^	
(Hand stamp may be used.)			,			FIOLINGE IA	U .	
	,			1 .				
						,		- 1
	•							
18. TRANSFEROR'S (Seller's) SIG	NATURE	140 -	ED A NOT CO.				· · · · · · · · · · · · · · · · · · ·	
(3686) 3/ 3/6		. 19.	TRANSFEROF	S HILE		20. TRAN	SACTION	
	y 1		•			DATE		
TE = 4.50 (2000)						J		Į

IMPORTANT NOTICES TO TRANSFEROR (SELLER) AND TRANSFEREE (BUYER)

- 1. Under 18 U.S.C. Chapter 44 and Title VII of Public Law 90-351, 18 U.S.C. Appendix 1201-1203, as amended, firearms may not be sold to or received by certain persons. The information and certification on this form are designed so that a person licensed under Chapter 44 may determine if he may lawfully sell, deliver or transport a firearm to the person identified in Section A, and to alert the transferee (buyer) of certain restrictions on the receipt and possession of firearms. This form should not be used for sales or transfers where neither person is licensed under 18 U.S.C. Chapter 44.
- 2. WARNING—The sale or delivery of a firearm by a licensee to an eligible purchaser who is acting as an agent, intermediary, or 'straw purchaser' for someone whom the licensee knows or has reasonable cause to believe is ineligible to purchase a firearm directly, may result in a violation of the Federal firearm laws.
- 3. The transferee (buyer) of a firearm should be familiar with the provisions of law. Generally, 18 U.S.C. Chapter 44 prohibits the shipment, transportation or receipt in interstate commerce of a firearm by one who is under indictment or information for, or who has been convicted of a crime punishable by imprisonment for a term exceeding one year, by one who is a fugitive from justice, by one who is an unlawful user of, or addicted to marijuana or a depressant, stimulant or narcotic drug, or by one who has been adjudicated mentally defective or who has been committed to a mental institution. In addition, Title VII (18 U.S.C. Appendix 1201-1203) makes it unlawful for anyone who has been convicted of a crime punishable by imprisonment for a term exceeding one year, who has been discharged from the Armed Forces under dishonorable conditions, who has been adjudicated mentally incompetent, who, having been a citizen of the United States, has renounced his citizenship, or who is an alien illegally in the United States, to possess a firearm.

INSTRUCTIONS TO TRANSFEREE (BUYER)

- 1. The buyer (transferee) of a firearm will, in every instance, personally complete Section A of the form and certify (sign) that the answers are true and correct. If, because of inability of the buyer to read or write, the answers are written by another person, this person and another person will sign as witnesses to the buyer's answers and/or signature.
- 2. When the transferee (buyer) of a firearm is a corporation,
- company, association, partnership or other such business entity, an officer authorized to act on behalf of the business will complete and sign Section A of the form and attach a written statement, executed under the penalty of perjury, stating
 - (a) that the firearm is being acquired for the use of and will be the property of that business entity, and
 - (b) the name and address of that business entity.

INSTRUCTIONS TO TRANSFEROR (SELLER)

- 1. Should the buyer's name be illegible the seller shall print the buyer's name above the name printed by the buyer.
- 2. The transferor (seller) of a firearm will, in every instance complete Section B of the form.
- 3. If more than one firearm is involved, the identification required by Section B, items 11 through 15, must be provided for each firearm. The identification of the firearms transferred in a transaction which covers more than one weapon may be on a separate sheet of paper which must be attached to the form covering the transaction.
- 4. The transferor (seller) of the firearm is responsible for determining the lawfulness of the transaction and for keeping proper records of the transaction. Consequently, the transferor should be familiar with the provisions of the Gun Control Act of 1968 (18 U.S.C. Chapter 44) and Title VII, Unlawful Possession or Receipt of Firearms, (82 Stat. 197), and 27 CFR Part 178 (Commerce in Firearms and Ammunition).
- 5. Upon completion of the firearm transaction, the transferor (seller) must make a part of his permanent firearms records the Form 4473 (5300.9) Part 1 recording that transaction and any supporting documents. Form 4473 (5300.9) Part 1 and any supporting documents must be filed either chronologically by date of transaction, alphabetically by name of transferor (buyer), or numerically by transaction number if the transferor assigns transaction numbers to Form 4473 (5300.9) Part 1.
- 6. In addition to completing this record, the licensee shall report any multiple sale or other disposition of pistols or revolvers on ATF F 3310.4, in accordance with 27 CFR 178.126a.
- 7. Additional forms are available from:

Bureau of Alcohol, Tobacco and Firearms ATF Distribution Center 3800 S. Four Mile Run Drive Arlington, Virginia 22206

- 1. Intra-State Over-the-Counter Transaction The sale or other disposition of a firearm by the transferor (seller) to a transferee (buyer), who is a resident of the state in which the transferor's business is located, occurring on the transferor's business premises.
- 2. Published Ordinances The publication (ATF P 5300.5) containing those State laws and local ordinances relevant to the enforcement of Chapter 44 of Title 18, U.S.C., which is annually published in the Federal Register and distributed to each Federal firearms licensee by the Director, Bureau of Alcohol, Tobacco and Firearms.

DEPARTMENT OF THE TREASURY—BUREAU OF ALC FIREARMS TRANSACTIO PART I — INTRA-STATE OVER	TRANSFEROR'S TRANSACTION NO.			
NOTE: Prepare in original only. All entries other than signature ink.		ly printed in ink. A	l signatures on this	s form must be in
SECTION A - MUST BE COMPLETED PERSONALLY BY TRA	NSFEREE (BUYER) (Se	e Notice and Instru	tions on reverse).	
1. TRANSFEREE'S (Buyer's) NAME (Last, First, Middle) (Mr., M	irs., Miss)	2. HEIGHT	3. WEIGHT	4. RACE
5. RESIDENCE ADDRESS (No., Street, City, State, Zip code)		6. DATE OF BIRT	H 7. PLACE OF	BIRTH
8. CERTIFICATION OF TRANSFEREE (Buyer) — An untruthfu answered with a "yes" or a "no" inserted in the box at the right	l answer may subject you t of the question.	to criminal prosecu	ition. Each question	on must be
a. Are you under indictment or information* in any court for a crime punishable by imprisonment for a term exceeding one year? *A formal accusation of a crime made by a prosecuting attorney, as distinguished from an indictment presented by a grand jury. b. Have you been convicted in any court of a crime pun-	d. Are you an or a depres	fugitive from justice unlawful user of, o sant, stimulant, or n ver been adjudicated	r addicted to, mar artotic drug?	
ishable by imprisonment for a term exceeding one year? (Note: The actual sentence given by the judge does not matter — a yes answer is necessary if the judge could have given a sentence of more than one year. Also, a "yes" answer is required if a conviction has been dis-	f. Have you b	ver been committed seen discharged from e conditions?	to a mental institu	ution?
charged, set aside, or dismissed pursuant to an expunge- ment or rehabilitation statute. However, a crime punish- able by imprisonment for a term exceeding one year		alien illegally in the person who, having l		
does not include a conviction which has been set aside under the Federal Youth Corrections Act.) I hereby certify that the answers to the above are true and corr	United Stat	es, has renounced h	is citizenship?	the obaye
questions is prohibited from purchasing and/or possessing a firer the making of any false oral or written statement or the exhibit is a crime punishable as a felony. TRANSFEREE'S (Buyer's) SIGNATURE	arm, except as otherwise ring of any false or misre	provided by Federa	DATE	rstand that to this transaction
SECTION B - TO BE COMPLETED BY TRANSFEROR (SELLE)	R) (See Notice and Inst	vattono en namena l		
THIS DEDOON DECODIDED IN SECTION A. IS KI	NOWN TO ME IDENTIFIED HIMSELF		LLOWING MANN	IER
 TYPE OF IDENTIFICATION (Driver's License, etc. Positive identification is required. A Social Security card is not considere positive identification.) 	10. NUMBER O	N IDENTIFICATION		
On the basis of: (1) the statements in Section A; (2) the verification Published Ordinances, it is my belief that it is not unlawful for me identified in Section A.	on of identity nated in Se to sell, deliver or otherw	ection B; and (3) the ise dispose of the fire	information in the earm described bel	current list of ow to the person
11. TYPE (Pistol, Revolver, Rifle, Shotgun, etc.)	13. CALIBER OR GAUGE	14. SERIAL NO.		
15. MANUFACTURER (and importer, if any)				
16. TRADE/CORPORATE NAME AND ADDRESS OF TRANSFE (Hand stamp may be used.)	ROR (Seller)	17. FEDERAL FIRE	EARMS LICENSE	NO.
18. TRANSFEROR'S (Seller's) SIGNATURE	19. TRANSFEROR	'S TITLE	20. TRA	NSACTION E

IMPORTANT NOTICES TO TRANSFEROR (SELLER) AND TRANSFEREE (BUYER)

- 1. Under 18 U.S.C. Chapter 44 and Title VII of Public Law 90-351, 18 U.S.C. Appendix 1201-1203, as amended, firearms may not be sold to or received by certain persons. The information and certification on this form are designed so that a person licensed under Chapter 44 may determine If he may lawfully sell, deliver or transport a firearm to the person identified in Section A, and to alert the transferee (buyer) of certain restrictions on the receipt and possession of firearms. This form should not be used for sales or transfers where neither person is licensed under 18 U.S.C. Chapter 44.
- 2. WARNING—The sale or delivery of a firearm by a licensee to an eligible purchaser who is acting as an agent, intermediary, or 'straw purchaser' for someone whom the licensee knows or has reasonable cause to believe is ineligible to purchase a firearm directly, may result in a violation of the Federal firearm laws.
- 3. The transferee (buyer) of a firearm should be familiar with the provisions of law. Generally, 18 U.S.C. Chapter 44 prohibits the shipment, transportation or receipt in interstate commerce of a firearm by one who is under indictment or information for, or who has been convicted of a crime punishable by imprisonment for a term exceeding one year, by one who is a fugitive from justice, by one who is an unlawful user of, or addicted to marijuana or a depressant, stimulant or narcotic drug, or by one who has been adjudicated mentally defective or who has been committed to a mental institution. In addition, Title VII (18 U.S.C. Appendix 1201-1203) generally makes it unlawful for anyone who has been convicted of a crime punishable by imprisonment for a term exceeding one year, who has been discharged from the Armed Forces under dishonorable conditions, who has been adjudicated mentally incompetent, who, having been a citizen of the United States, has renounced his citizenship, or who is an alien illegally in the United States, to possess a firearm.

INSTRUCTIONS TO TRANSFEREE (BUYER)

- 1. The buyer (transferee) of a firearm will, in every instance, personally complete Section A of the form and certify (sign) that the answers are true and correct. If, because of inability of the buyer to read or write, the answers are written by another person, this person and another person will sign as witnesses to the buyer's answers and/or signature.
- 2. When the transferee (buyer) of a firearm is a corporation,

company, association, partnership or other such business entity, an officer authorized to act on behalf of the business will complete and sign Section A of the form and attach a written statement, executed under the penalty of perjury, stating

- (a) that the firearm is being acquired for the use of and will be the property of that business entity, and
- (b) the name and address of that business entity.

INSTRUCTIONS TO TRANSFEROR (SELLER)

- 1. Should the buyer's name be illegible the seller shall print the buyer's name above the name printed by the buyer.
- 2. The transferor (seller) of a firearm will, in every instance complete Section B of the form.
- 3. If more than one firearm is involved, the identification required by Section B, Items 11 through 15, must be provided for each firearm. The identification of the firearms transferred in a transaction which covers more than one weapon may be on a separate sheet of paper which must be attached to the form covering the transaction.
- 4. The transferor (seller) of the firearm is responsible for determining the lawfulness of the transaction and for keeping proper records of the transaction. Consequently, the transferor should be familiar with the provisions of the Gun Control Act of 1968 (18 U.S.C. Chapter 44) and Title VII, Unlawful Possession or Receipt of Firearms, (82 Stat. 197), and 27 CFR Part 178 (Commerce in Firearms and Ammunition).
- 5. Upon completion of the firearm transaction, the transferor (seller) must make a part of his permanent firearms records the Form 4473 (5300.9) Part 1 recording that transaction and any supporting documents. Form 4473 (5300.9) Part 1 and any supporting documents must be filed either chronologically by date of transaction, alphabetically by name of transferee (buyer), or numerically by transaction number if the transferor assigns transaction numbers to Form 4473 (5300.9) Part 1.
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- 7. Additional forms are available from:

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orm Approved: OMB No. 1512-0129 (12/31/84)

FIREARMS TRANSACT	ION REC	CORD		MS		RANSFEROR O.	'S TRAN	SACTION
PART I – INTRA-STATE OVI							**	
NOTE: Prepare in original only. All entries other than signatures r							t be in in	k.
SECTION A - MUST BE COMPLETED PERSONALLY BY TRAI	NSFEREE (BUYER)	(See Notic		on re	verse).		
1. TRANSFEREE'S (Buyer's) NAME (Last, First, Middle) (Mr., M	rs., Miss)			2. HEIGHT	3.	WEIGHT	4. RA	ACE
5. RESIDENCE ADDRESS (No., Street, City, State, Zip code)				6. DATE OF BIR	TH.	7. PLACE O	FRIRTH	
				·				
8. CERTIFICATION OF TRANSFEREE (Buyer) — An untruthful with a "yes" or a "no" inserted in the box at the right of the que	answer may estion.	subject ye	ou to crimi	nal prosecution. E	Each o	question must	be answei	red
a. Are you under indictment or information* in any court for a crime punishable by imprisonment for a term ex- ceeding one year? *A formal accusation of a crime made		c. Are yo	ou a fugitiv	e from justice?				
by a prosecuting attorney, as distinguished from an in- dictment presented by a grand jury.		d. Are yo	ou an unlav epressant, s	vful user of, or add timulant, or narco	licted tic dr	to, marijuana ug?	,	
Have you been convicted in any court of a crime pun- ishable by imprisonment for a term exceeding one year? (Note: The actual sentence given by the judge does not)		e. Have y have y	ou ever be	en adjudicated me en committed to a	ntally ment	defective or al institution?	- 1 11	
matter — a yes answer is necessary if the judge could have given a sentence of more than one year. Also, a "yes" answer is required if a conviction has been dis-		f. Have y dishon	ou been di orable con	scharged from the ditions?	Arm	ed Forces unde	er	
charged, set aside, or dismissed pursuant to an expunge- ment or rehabilitation statute. However, a crime punish- able by imprisonment for a term exceeding one year		g. Are yo	u an alien	illegally in the Uni	ted S	tates?		
does not include a conviction which has been set aside under the Federal Youth Corrections Act.)				who, having been renounced his cit				
I hereby certify that the answers to the above are true and correct. hibited from purchasing and/or possessing a firearm, except as othe written statement or the exhibiting of any false or misrepresented i	rudeo provic	and hu Enc	doral love I					pro- ral or
TRANSFEREE'S (Buyer's) SIGNATURE						DATE		
SECTION B - TO BE COMPLETED BY TRANSFEROR (SELLEF	R) (See Noti	ice and Ins	structions o	on reverse.)				-
THIS PERSON DESCRIBED IN SECTION A:		ELF.TO M	IE IN THE	FOLLOWING MA	NNE	R		
9. TYPE OF IDENTIFICATION (Driver's License, etc. Positive identification is required. A Social Security card is not considered positive identification.)	10. N	UMBER (ON IDENT	FICATION			-	
On the basis of (1) the statements in Section A; (2) the verification Ordinances, it is my belief that it is not unlawful for me to sell, deli Section A.	of identity i	noted in S wise dispo	ection B; a	nd (3) the informa	ition elow	in the current to the person i	list of Pul identified	blished in
11. TYPE (Pistol, Revolver, Rifle, Shotgun, etc.)	13. CALIBI GAUGE		14. SERI	AL NO.			*******	
15. MANUFACTURER (and importer, if any)								
16. TRADE/CORPORATE NAME AND ADDRESS OF TRANSFEI	ROR (Seller	<i>j-</i>	17. FEDE	RAL FIREARMS	LICE	NSE NO.		
(Hand stamp may be used.)								
						•		- Andrews
18. TRANSFEROR'S (Seller's) SIGNATURE	19. TRANS	SFEROR'S	STITLE			20. TR DA	ANSACT TE	ION
				TERTIT-TOTTER GROUP PROMPTOR REPRODUCTIVE TOTAL STATEMENT TOTAL STATEMENT SETT	***		· · · · · · · · · · · · · · · · · · ·	mem is animeteniesiis irro-

PAPERWORK REDUCTION ACT NOTICE

The information required on this form is in accordance with Section 3507 of Public Law 96-511, December 11, 1980. The purpose of the information is to determine the eligibility of the buyer (transferee) to receive firearms under Federal law. The information is subject to inspection by ATF officers. The information on this form is required by 18 U.S.C. 922.

IMPORTANT NOTICES TO TRANSFEROR (SELLER) AND TRANSFEREE (BUYER)

- 1. Under 18 U.S.C. Chapter 44 and Title VII of Public Law 90-351, 18 U.S.C. Appendix 1201-1203, as amended, firearms may not be sold to or received by certain persons. The information and certification on this form are designed so that a person licensed under Chapter 44 may determine if he may lawfully sell, deliver or transport a firearm to the person identified in Section A, and to alert the transferee (buyer) of certain restrictions on the receipt and possession of firearms. This form should not be used for sales or transfers where neither person is licensed under 18 U.S.C. Chapter 44.
- 2. WARNING—The sale or delivery of a firearm by a licensee to an eligible purchaser who is acting as an agent, intermediary, or 'straw purchaser' for someone whom the licensee knows or has reasonable cause to believe is ineligible to purchase a firearm directly, may result in a violation of the Federal firearm laws.
- The transferee (buyer) of a firearm should be familiar with the provisions of law. Generally, 18 U.S.C. Chapter 44 prohibits the shipment, transportation or receipt in interstate commerce of a firearm by one who is under indictment or information for, or who has been convicted of a crime punishable by imprisonment for a term exceeding one year, by one who is a fugitive from justice, by one who is an unlawful user of, or addicted to marijuana or a depressant, stimulant or narcotic drug, or by one who has been adjudicated mentally defective or who has been committed to a mental institution. In addition, Title VII (18 U.S.C. Appendix 1201-1203) generally makes it unlawful for anyone who has been convicted of a crime punishable by imprisonment for a term exceeding one year, who has been discharged from the Armed Forces under dishonorable conditions, who has been adjudicated mentally incompetent, who, having been a citizen of the United States, has renounced his citizenship, or who is an alien illegally in the United States, to possess a firearm.

INSTRUCTIONS TO TRANSFEREE (BUYER)

- 1. The buyer (transferee) of a firearm will, in every instance, personally complete Section A of the form and certify (sign) that the answers are true and correct. If, because of inability of the buyer to read or write, the answers are written by another person, this person and another person will sign as witnesses to the buyer's answers and/or signature.
- When the transferee (buyer) of a firearm is a corporation, company, association, partnership or other such business entity, an officer authorized to act on behalf of the business will complete and sign Section A of the form and attach a written statement, executed under the penalty of perjury, stating
 - that the firearm is being acquired for the use of and will be the property of that business entity, and
 - (b) the name and address of that business entity.

INSTRUCTIONS TO TRANSFEROR (SELLER)

- 1. Should the buyer's name be illegible the seller shall print the buyer's name above the name printed by the buyer.
- 2. The transferor (seller) of a firearm will, in every instance complete Section B of the form.
- 3. If more than one firearm is involved, the identification required by Section B, Items 11 through 15, must be provided for each firearm. The identification of the firearms transferred in a transaction which covers more than one weapon may be on a separate sheet of paper which must be attached to the form covering the transaction.
- 4. The transferor (seller) of the firearm is responsible for determining the lawfulness of the transaction and for keeping proper records of the transaction. Consequently, the transferor should be familiar with the provisions of the Gun Control Act of 1968 (18 U.S.C. Chapter 44) and Title VII, Unlawful Possession or Receipt of Firearms, (82 Stat. 197), and 27 CFR Part 178 (Commerce in Firearms and Ammunition).
- 5. Upon completion of the firearm transaction, the transferor (seller) must make a part of his permanent firearms records the Form 4473 (5300.9) Part 1 recording that transaction and any supporting documents. Form 4473 (5300.9) Part 1 and any supporting documents must be filed either chronologically by date of transaction, alphabetically by name of transferee (buyer), or numerically by transaction number if the transferor assigns transaction numbers to Form 4473 (5300.9) Part 1.
- 6. In addition to completing this record, the licensee shall report any multiple sale or other disposition of pistols or revolvers on ATF F 3310.4 in accordance with 27 CFR 178.126a.
- 7. Additional forms are available from:

Bureau of Alcohol, Tobacco and Firearms ATF Distribution Center 3800 S. Four Mile Run Drive Arlington, Virginia 22206

DEFINITIONS

- 1. Intra-State Over-the-Counter Transaction The sale or other disposition of a firearm by the transferor (seller) to a transferee (buyer), who is a resident of the state in which the transferor's business is located, occurring on the transferor's business premises.
- 2. Published Ordinances The publication (ATF P 5300.5) containing those State laws and local ordinances relevant to the enforcement of Chapter 44 of Title 18, U.S.C., which is annually published in the Federal Register and distributed to each Federal firearms licensee by the Director, Bureau of Alcohol, Tobacco and Firearms.

ATF F 4473 (5300.9) PART 1 (11-81)

Case 6:15-cv-06765-FPG Document 13 Filed 04/27/16 Page 25 of 40 Form Approved: OMB No. 1512-0129 (2/29/88) DEPARTMENT OF THE TREA / - BUREAU OF ALCOHOL, TOBACCO, AND FIREARM TRANSFEROR'S TRANSACTION FIREARMS TRANSACTION RECORD SERIAL NUMBER PART I — INTRA-STATE OVER-THE-COUNTER NOTE: Prepare in original only. All entries on this form must be in ink. See Notices and Instructions on back. SECTION A - MUST BE COMPLETED PERSONALLY BY TRANSFEREE (BUYER) (See Notices and Instructions on reverse) 1. TRANSFEREE'S (Buyer's) NAME (Last, First, Middle) 2. HEIGHT 3. WEIGHT 4. RACE ☐ MALE ☐ FEMALE 5. RESIDENCE ADDRESS (No., Street, City, State, ZIP Code) 6. DATE OF BIRTH 7. PLACE OF BIRTH (City and State or City and Foreign MONTH DAY YEAR Country) 8. CERTIFICATION OF TRANSFEREE (Buyer) — An untruthful answer may subject you to criminal prosecution. Each question must be answered with a "yes" or a "no" inserted in the box at the right of the question: a. Are you under indictment or information* in any court for a crime punishable by imprisonment for a term exc. Are you a fugitive from justice? ceeding one year? *A formal accusation of a crime made by a prosecuting attorney, as distinguished from an Are you an unlawful user of, or addicted to, marijuana, or indictment presented by a grand jury. a depressant, stimulant, or narcotic drug? Have you been convicted in any court of a crime e. Have you ever been adjudicated mentally defective or punishable by imprisonment for a term exceeding one have you ever been committed to a mental institution? year? (NOTE: A "yes" answer is necessary if the judge could have given a sentence of more than one year. Also, a Have you been discharged from the Armed Forces "yes" answer is required if a conviction has been dischargunder dishonorable conditions? ed, set aside, or dismissed pursuant to an expungement or rehabilitation statute. However, a "crime punishable by imprisonment exceeding one year" does not include a convic-Are you an alien illegally in the United States? tion which has been set aside under the Federal Youth Corrections Act, as evidenced by a copy of the certificate issued Are you a person who, having been a citizen of the under 18 USC 5021.) United States, has renounced his citizenship? I hereby certify that the answers to the above are true and correct. I understand that a person who answers "Yes" to any of the above questions is prohibited from purchasing and/or possessing a firearm, except as otherwise provided by Federal law. I also understand that the making of any false oral or written statement or the exhibiting of any false or misrepresented identification with respect to this transaction is a crime punishable as a felony. TRANSFEREE'S (Buyer's) SIGNATURE DATE SECTION B - TO BE COMPLETED BY TRANSFEROR (SELLER) (See Notices and Instructions on reverse) THIS PERSON DESCRIBED IN SECTION A: I IS KNOWN TO ME ☐ HAS IDENTIFIED HIMSELF TO ME IN THE FOLLOWING MANNER 9. TYPE OF IDENTIFICATION (Driver's license or identification 10. NUMBER ON IDENTIFICATION which shows name, date of birth, place of residence, and signature.) On the basis of (1) the statements in Section A; (2) the verification of identity noted in Section B; and (3) the information in the current list of Published Ordinances, it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) described below and on the back to the person identified in Section A. 13. 14 15. TYPE (Pistol, Revolver, Rifle, MODEL CALIBER OR MANUFACTURER (and importer, if any) SERIAL NO. Shotgun, etc.) GAUGE 1. 16. TRADE/CURPORATE NAME AND ADDRESS OF TRANSFEROR (Seller) 17. FEDERAL FIREARMS LICENSE NO. (Hand stamp may be used) (Hand stamp may be used)

THE PERSON MAKING THE ACTUAL FIREARMS SALE MUST COMPLETE ITEMS 18 THROUGH 20

18. TRANSFEROR'S (Seller's) SIGNATURE

19. TRANSFEROR'S TITLE

20. TRANSACTION DATE

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CONTINUAT	TION OF ITEMS	11 THROUGH 15 FOR	MULTIPLE PURCHASES	OF FIREARMS (See Item 9 below)
11. TYPE (Pistol, Revolver, Rifle, Shotgun, etc.)	12. MODEL	13. CALIBER OR GAUGE	14. SERIAL NO.	15. MANUFACTURER (and importer, if any)
3.				
4.				
5.				
6.				
·	Complete A	TF F 3310.4 for multip	le purchases of firearms (Se	e item 10 halow)

NOTICES AND INSTRUCTIONS

PAPERWORK REDUCTION ACT NOTICE

The information required on this form is in accordance with the Paperwork Reduction Act of 1980. The purpose of the information is to determine the eligibility of the buyer (transferee) to receive firearms under Federal law. The information is subject to inspection by ATF officers. The information on this form is required by 18 U.S.C. 922 and 923.

IMPORTANT NOTICES TO TRANSFEROR (SELLER) AND TRANSFEREE (BUYER)

- 1. Under 18 U.S.C. 921-928 and 18 U.S.C. Appendix 1201-1203, firearms may not be sold to or received by certain persons. The information and certification on this form are designed so that a person licensed under 18 U.S.C. 921-928 may determine if he may lawfully sell or deliver a firearm to the person identified in Section A, and to alert the transferee (buyer) of certain restrictions on the receipt and possession of firearms. This form should not be used for sales or transfers where neither person is licensed under 18 U.S.C. 921-928.
- 2. WARNING—The sale or delivery of a firearm by a licensee to an eligible purchaser who is acting as an agent, intermediary or 'straw purchaser' for someone whom the licensee knows or has reasonable cause to believe is ineligible to purchase a firearm directly, may result in a violation of the Federal firearm laws.
- 3. The transferee (buyer) of a firearm should be familiar with the provisions of law. Generally, 18 U.S.C. 921-928 prohibits the shipment, transportation or receipt in interstate commerce of a firearm by one who is under indictment or information for, or who has been convicted of, a crime punishable by imprisonment for a term exceeding one year, by one who is a fugitive from justice, by one who is an unlawful user of, or addicted to marijuana or a depressant, stimulant or narcotic drug, or by one who has been adjudicated mentally defective or who has been committed to a mental institution. In addition, 18 U.S.C. Appendix 1201-1203 generally makes it unlawful for anyone who has been convicted of a crime punishable by imprisonment for a term exceeding one year, who has been discharged from the Armed Forces under dishonorable conditions, who has been adjudicated mentally incompetent, who, having been a citizen of the United States, has renounced his citizenship, or who is an alien illegally in the United States, to possess a firearm.

KNOW YOUR CUSTOMER—Before a licensee may self or deliver a firearm to a nonlicensee, the licensee must establish the identity, place of residence, and age of the buyer. Satisfactory identification should verify the buyer's name, date of birth, address, and signature. Thus, a driver's license or an identification card issued by a State in place of a license is particularly appropriate. Social Security cards are not acceptable because no address or date of birth is shown on the card. Also, alien registration receipt cards and military identification cards are not acceptable by themselves because the State of residence is not shown on the cards. However, although a particular document may not be sufficient to meet the statutory requirement for identifying the buyer, any combination of documents which together disclose the required information concerning the buyer is acceptable.

INSTRUCTIONS TO TRANSFEREE (BUYER)

4. The buyer (transferee) of a firearm will, in every instance, personally complete Section A of the form and certify (sign) that the answers are true and correct. However, if the buyer is unable to read and/or write, the answers may be written by other persons, excluding the dealer. Two persons (other than the dealer) will then sign answers and signature.

- 5. When the transferee (buyer) of a firearm is a corporation, company, association, partnership or other such business entity, an officer authorized to act on behalf of the business will complete and sign Section A of the form and attach a written statement, executed under penalties of perjury, stating
 - that the firearm is being acquired for the use of and will be the property of that business entity, and
 - (b) the name and address of that business entity.

INSTRUCTIONS TO TRANSFEROR (SELLER)

- Should the buyer's name be illegible the seller shall print the buyer's name above the name printed by the buyer.
- 7. The transferor (seller) of a firearm will, in every instance, complete Section B of the form.
- 8. Additional firearms purchases made by the same buyer may not be added to this form after the seller has signed and dated it.
- 9. If more than six firearms are involved, the identification required by Section B, items 11 through 15, must be provided for each firearm. The identification of the firearms transferred in a transaction which covers more than six weapons may be on a separate sheet of paper which must be attached to the form covering the transaction.
- 10. In addition to completing this record, you must report any multiple sale or other disposition of pistols or revolvers on ATF F 3310.4 in accordance with 27 CFR 178.126a.
- 11. The transferor (seller) of a firearm is responsible for determining the lawfulness of the transaction and for keeping proper records of the transaction. Consequently, the transferor should be familiar with the provisions of 18 U.S.C. 921-928 and 18 U.S.C. Appendix 1201-1203, and the Federal firearms regulations, Title 27, Code of Federal Regulations, Part 178.
- 12. After you have completed the firearm transaction, you must make the completed, original copy of the ATF F 4473, Part I part of your permanent firearms records including any supporting documents. Filing may be chronological (by date), alphabetical (by name), or numerical (by transaction serial number), so long as all of your completed Forms 4473, Part I are filed in the same manner.

- 1. Intra-State Over-the-Counter Transaction—The sale or other disposition of a firearm by the transferor (seller) to a transferee (buyer) who is a resident of the State in which the transferor's business is located, occurring on the transferor's business premises.
- Published Ordinances—The publication (ATF P 5300.5) containing State firearms laws and local ordinances which is annually distributed to Federal firearms licensees by the Bureau of Alcohol, Tobacco and Firearms.

Case 6:15-cv-06765-FPG Document 13 Filed 04/27/16 Page 27 of 40 Form Approved: OMB No. 1512-0129 (3/31/91) DEPARTMENT OF THE TREASURY -- BUREAU OF ALCOHOL, TOBACCO, AND FIREA. TRANSFEROR'S TRANSACTION FIREARMS TRANSACTION RECORD SERIAL NUMBER PART I - OVER-THE-COUNTER NOTE: Prepare in original only. All entries on this form must be in ink. See Notices and Instructions on back. SECTION A - MUST BE COMPLETED PERSONALLY BY TRANSFEREE (BUYER) (See Notices and Instructions on reverse) 1. TRANSFEREE'S (Buyer's) NAME (Last, First, Middle) 2. HEIGHT 3. WEIGHT □ MALE 4. RACE □ FEMALE 5. RESIDENCE ADDRESS (No., Street, City, County, State, ZIP Code) 6. DATE OF BIRTH 7. PLACE OF BIRTH (City and MONTH DAY YEAR State or City and Foreign Country) CERTIFICATION OF TRANSFEREE (Buyer)-An untruthful answer may subject you to criminal prosecution. Each question must be answered with a "yes" or a "no" inserted in the box at the right of the question: a. Are you under indictment or information* in any court for c. Are you a fugitive from justice? a crime punishable by imprisonment for a term exceeding d. Are you an unlawful user of, or addicted to, marihuana, or one year? *A formal accusation of a crime made by a any depressant, stimulant, or narcotic drug, or any other prosecuting attorney, as distinguished from an indictment controlled substance? presented by a grand jury. b. Have you been convicted in any court of a crime punishable by imprisonment for a term exceeding one e. Have you even been adjudicated mentally defective or year? (NOTE: A "yes" answer is necessary if the judge have you ever been committed to a mental institution? could have given a sentence of more than one year. A f. Have you been discharged from the Armed Forces under "yes" answer is not required if you have been pardoned dishonorable conditions? for the crime or the conviction has been expunged or set aside, or you have had your civil rights restored and, g. Are you an alien illegally in the United States? under the law where the conviction occurred, you are not h. Are you a person who, having been a citizen of the prohibited from receiving or possessing any firearm). United States, has renounced his/her citizenship. I hereby certify that the answers to the above are true and correct. I understand that a person who answers "Yes" to any of the above questions is prohibited from purchasing and/or possessing a firearm, except as otherwise provided by Federal law. I also understand that the making of any false oral or written statement or the exhibiting of any false or misrepresented identification with respect to this transaction is a crime punishable as a TRANSFEREE'S (Buyer's) SIGNATURE DATE SECTION B - TO BE COMPLETED BY TRANSFEROR (SELLER) (See Notices and Instructions on reverse) THIS PERSON DESCRIBED IN SECTION A: IS KNOWN TO ME ☐ HAS IDENTIFIED HIMSELF/HERSELF TO ME IN THE FOLLOWING MANNER 9. TYPE OF IDENTIFICATION (Driver's license or identification 10. NUMBER ON IDENTIFICATION which shows name, date of birth, place of residence, and signature.) On the basis of (1) the statements in Section A; (2) the verification of identity noted in Section B; and (3) the information in the current list of Published Ordinances, it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) described below and on the back to the person identified in Section A. 12 13. 14. TYPE (Pistol, Revolver, Rifle. MODEL CALIBER OR MANUFACTURER (and importer, if any) SERIAL NO. Shotgun, etc.) GAUGE 16. TRADE/CORPORATE NAME AND ADDRESS OF TRANSFEROR (Seller) 17. FEDERAL FIREARMS LICENSE NO. (Hand stamp may be used) (Hand stamp may be used) THE PERSON MAKING THE ACTUAL FIREARMS SALE MUST COMPLETE ITEMS 18 THROUGH 20

19. TRANSFEROR'S TITLE

20. TRANSACTION DATE

18. TRANSFEROR'S (Seller's) SIGNATURE

11. Case TYPE (Fistol, Revolver, Rifle, Shotgun, etc.)	6:15 _{-CV} -067 MODEL	65-FPG DO 13. CALIBER OR GAUGE	ocument 13 Filed 14. SERIAL NO.	04/27/16 Page 28 of 40 15 MANUFACTURER (and importer, if any)
3.			<u> </u>	
4.				
5.	***************************************			
6.				
	Complete ATF F	3310.4 for multipl	le purchases of handouns (S	Con item 10 heles)

NOTICES AND INSTRUCTIONS

PAPERWORK REDUCTION ACT NOTICE

The information required on this form is in accordance with the Paperwork Reduction Act of 1980. The purpose of the information is to determine the eligibility of the buyer (transferee) to receive firearms under Federal law. The information is subject to inspection by ATF officers. The information on this form is required by 18 U.S.C. 922 and 923.

IMPORTANT NOTICES TO TRANSFEROR (SELLER) AND TRANSFEREE (BUYER)

- 1. Under 18 U.S.C. 921-929 firearms may not be sold to or received by certain persons. The information and certification on this form are designed so that a person licensed under 18 U.S.C. 921-929 may determine if he may lawfully sell or deliver a firearm to the person identified in Section A, and to alert the transferee (buyer) of certain restrictions on the receipt and possession of firearms. This form should not be used for sales or transfers where neither person is licensed under 18 U.S.C. 921-929.
- 2. WARNING—The sale or delivery of a firearm by a licensee to an eligible purchaser who is acting as an agent, intermediary or 'straw purchaser' for someone whom the licensee knows or has reasonable cause to believe is ineligible to purchase a firearm directly, may result in a violation of the Federal firearms laws.
- 3. The transferee (buyer) of a firearm should be familiar with the provisions of law. Generally, 18 U.S.C. 921-929 prohibit the shipment, transportation, receipt, or possession in or affecting interstate commerce of a firearm by one who is under indictment or information for, or who has been convicted of, a crime punishable by imprisonment for a term exceeding one year, by one who is a fugitive from justice, by one who is an unlawful user of, or addicted to, marihuana, or any depressant, stimulant, or narcotic drug, or any other controlled substance, by one who has been adjudicated mentally defective or has been committed to a mental institution, by one who has been discharged from the Armed Forces under dishonorable conditions, by one who, having been a citizen of the United States, has renounced his citizenship, or by one who is an alien illegally in the United States.

EXCEPTION: For one who has been convicted of a crime punishable by imprisonment for a term exceeding one year, the prohibition does not apply if that individual has received a pardon for the crime or the conviction has been expunged or set aside or under the law where the conviction occurred that individual has had his/her civil rights restored and as a result of the civil rights restoration is not prohibited from receiving or possessing firearms.

KNOW YOUR CUSTOMER—Before a licensee may sell or deliver a firearm to a nonlicensee, the licensee must establish the identity, place of residence, and age of the buyer. Satisfactory identification should verify the buyer's name, date of birth, address, and signature. Thus, a driver's license or identification card issued by a State in place of a license is particularly appropriate. Social Security cards are not acceptable because no address or date of birth is shown on the card. Also, allen registration receipt cards and military identification cards are not acceptable by themselves because the State of residence is not shown on the cards. However, although a particular document may not be sufficient to meet the statutory requirement for identifying the buyer, any combination of documents which together disclose the required information concerning the buyer is acceptable.

INSTRUCTIONS TO TRANSFEREE (BUYER)

4. The buyer (transferee) of a firearm will, in every instance, personally complete Section A of the form and certify (sign) that the answers are true and correct. However, if the buyer is unable to read and/or write, the answers may be written by other persons, excluding the dealer. Two

persons (other than the dealer) will then sign as witnessess to the buyer's answers and signature.

- 5. When the transferee (buyer) of a firearm is a corporation, company, association, partnership or other such business entity, an officer authorized to act on behalf of the business will complete and sign Section A of the form and attach a written statement, executed under penalties of perjury, stating
 - (a) that the firearm is being acquired for the use of and will be the property of that business entity, and
 - (b) the name and address of that business entity.

INSTRUCTIONS TO TRANSFEROR (SELLER)

- 6. Should the buyer's name be illegible the seller shall print the buyer's name above the name printed by the buyer.
- 7. The transferor (seller) of a firearm will, in every instance, complete Section B of the form.
- 8. Additional firearms purchases made by the same buyer may not be added to this form after the seller has signed and dated it.
- 9. If more than six firearms are involved, the identification required by Section B, Items 11 through 15, must be provided for each firearm. The identification of the firearms transferred in a transaction which covers more than six weapons may be on a separate sheet of paper which must be attached to the form covering the transaction.
- In addition to completing this record, you must report any multiple sale or other disposition of pistols or revolvers on ATF F 3310.4 in accordance with 27 CFR 178,126a.
- 11. The transferor (seller) of a firearm is responsible for determining the lawfulness of the transaction and for keeping proper records of the transaction. Consequently, the transferor should be familiar with the provisions of 18 U.S.C. 921-929 and the Federal firearms regulations, Title 27, Code of Federal Regulations, Part 178. In determining the lawfulness of the sale or delivery of a rifle or shotgun to a non-resident, the transferor is presumed to know applicable State laws and published ordinances in both States.
- 12. After you have completed the firearm transaction, you must make the completed, original copy of the ATF F 4473, Part I part of your permanent firearms records including any supporting documents. Filing may be chronological (by date), alphabetical (by name), or numerical (by transaction serial number), so long as all of your completed Forms 4473, Part I are filed in the same manner.

DEFINITIONS

- 1. Over-the-counter Transaction—The sale or other disposition of a firearm by the transferor (seller) to a transferee (buyer), occurring on the transferor's licensed premises. This includes the sale or other disposition of a rifle or a shotgun to a non-resident transferee (buyer) occurring on such premises.
- Published Ordinances—The publication (ATF P 5300.5) containing State firearms laws and local ordinances which is annually distributed to Federal firearms licensees by the Bureau of Alcohol, Tobacco and Firearms.
- Under indictment or convicted in any court—An indictment or conviction in any Federal, State or Foreign court.

ATF F 4473 (5300.9 PART I (1-88)

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Form Approved: OMB No. 1512-0129 (3/31/91)

DEPARTMENT OF TH	FIREARMS T		REC	CORD), AND F	IREARM	S .		RANSFEROR' ERIAL NUMBI		NOIT
NOTE: Prepare in original only.					nd Instru	ctions o	n back.	<u>t</u>			
SECTION A - MUST BE COM								tions o	n reverse)		
1. TRANSFEREE'S (Buyer's) N				L) MAL	E	2. HEI			, WEIGHT	4. RACI	E
5. RESIDENCE ADDRESS (No	., Street, City, Cour	nty, State, ZIP Co	ode)			6. DAT	DAY		7. PLACE O State or (Country)	OF BIRTH (Ci	
CERTIFICATION OF TRANS with a "yes" or a "no" inse	FEREE (Buyer)—A	n untruthful answ	ver ma	y subject yo	ou to crim	inal pro	secutio	n. Each	question mus	st be answer	red
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one year? *A formal accusa prosecuting attorney, as dis presented by a grand jury.	tion of a crime mac tinguished from an	le by a indictment			aпa, or a	ny depr	essant	, stimu		?	
 b. Have you been convicted in punishable by imprisonment year? (NOTE: A "yes" answ 	for a term exceedi	ng one		e. Have yo	ou ever b	peen adj	udicate	d ment	ally defective e	or -0	
could have given a sentence "yes" answer is not require	e of more than one d if you have been	year. A pardoned		f. Have yo		discharg	ed from		rmed Forces u		
for the crime or the convicti aside, or you have had you	civil rights restored	d and.	ļ	g. Are you			<u> </u>	United	f States?		
under the law where the co- prohibited from receiving or	nviction occurred, y possessing any fire	ou are not earm).		h. Are you	a perso	n who, h	aving t	een a	citizen of the		
TRANSFEREE'S (Buyer's) : FIREARM(S) SECTION B — TO BE COMPL THIS PERSON DESCRIBED IN	ETED BY TRANSF	EROR (SELLER)	(See I	Notices and	Instructi	ons on r	everse)		DATE		
9. TYPE OF IDENTIFICATION which shows name, date of signature.)	(Driver's license or	HAS IDENTIFIE		NUMBER (DLLOW	ING MANNER		
On the basis of (1) the stateme Published Ordinances, it is my and on the back to the person	belief that it is not	uniawful for me	of idea	ntity noted in deliver, tra	n Section	ı B; and r otherwi	(3) the se disp	intorm	ation in the cu the firearm(s)	rrent list of described b	elow
11. TYPE (Pistol, Revolver, Rifle, Shotgun, etc.)	12. MODEL	13. CALIBER OR GAUGE		14. SERIAL			MANL	JFACTI	15 URER (and im	porter, if an	(N
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11. TYPE (Pistol, Revolver, Rifle, Shotgun, etc.)	12. MODEL	13. CALIBER OR GAUGE	14. SERIAL NO.	15 MANUFACTURER (and importer, if any)
3.				
4.				·
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6.				
	Complete ATF	F 3310.4 for multiple r	ourchases of handguns (§	See item 10 helow)

NOTICES AND INSTRUCTIONS

PAPERWORK REDUCTION ACT NOTICE

The estimated average burden associated with this collection is .10 hours per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to Reports Management Officer, Information Programs Branch, Room 7011; Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, NW, Washington, D.C., 20226, or the Office of Management and Budget, Paperwork Reduction Project (1512-0475), Washington, D.C., 20503.

IMPORTANT NOTICES TO TRANSFEROR (SELLER) AND TRANSFEREE (BUYER)

- 1. Under 18 U.S.C. 921-929 firearms may not be sold to or received by certain persons. The information and certification on this form are designed so that a person licensed under 18 U.S.C. 921-929 may determine if he may lawfully sell or deliver a firearm to the person identified in Section A, and to alert the transferee (buyer) of certain restrictions on the receipt and possession of firearms. This form should not be used for sales or transfers where neither person is licensed under 18 U.S.C. 921-929.
- 2. WARNING—The sale or delivery of a firearm by a licensee to an eligible purchaser who is acting as an agent, intermediary or 'straw purchaser' for someone whom the licensee knows or has reasonable cause to believe is ineligible to purchase a firearm directly, may result in a violation of the Federal firearms laws.
- 3. The transferee (buyer) of a firearm should be familiar with the provisions of law. Generally, 18 U.S.C. 921-929 prohibit the shipment transportation, receipt, or possession in or affecting interstate commerce of a firearm by one who is under indictment or information for, or who has been convicted of, a crime punishable by imprisonment for a term exceeding one year, by one who is a fugitive from justice, by one who is an unlawful user of, or addicted to, marihuana, or any depressant, stimulant, or narcotic drug, or any other controlled substance, by one who has been adjudicated mentally defective or has been committed to a mental institution, by one who has been discharged from the Armed Forces under dishonorable conditions, by one who, having been a citizen of the United States, has renounced his citizenship, or by one who is an alien illegally in the United States.

EXCEPTION: For one who has been convicted of a crime punishable by imprisonment for a term exceeding one year, the prohibition does not apply if that individual has received a pardon for the crime or the conviction has been expunged or set aside or under the law where the conviction occurred that individual has had his/her civil rights restored and as a result of the civil rights restoration is not prohibited from receiving or possessing firearms.

KNOW YOUR CUSTOMER—Before a licensee may sell or deliver a firearm to a nonlicensee, the licensee must establish the identity, place of residence, and age of the buyer. Satisfactory identification should verify the buyer's name, date of birth, address, and signature. Thus, a driver's license or identification card-issued by a State in place of a license is particularly appropriate. Social Security cards are not acceptable because no address or date of birth is shown on the card. Also, alien registration receipt cards and military identification cards are not acceptable by themselves because the State of residence is not shown on the cards. However, although a particular document may not be sufficient to meet the statutory requirement for identifying the buyer, any combination of documents which together disclose the required information concerning the buyer is acceptable.

INSTRUCTIONS TO TRANSFEREE (BUYER)

 The buyer (transferee) of a firearm will, in every instance, personally complete Section A of the form and certify (sign) that the answers are true and correct. However, if the buyer is unable to read and/or write, the answers may be written by other persons, excluding the dealer. Two persons (other than the dealer) will then sign as witnessess to the buyer's answers and signature.

- 5. When the transferee (buyer) of a firearm is a corporation, company, association, partnership or other such business entity, an officer authorized to act on behalf of the business will complete and sign Section A of the form and attach a written statement, executed under penalties of perjury, stating
 - (a) that the firearm is being acquired for the use of and will be the property of that business entity, and
 - (b) the name and address of that business entity.

INSTRUCTIONS TO TRANSFEROR (SELLER)

- Should the buyer's name be illegible the seller shall print the buyer's name above the name printed by the buyer.
- The transferor (seller) of a firearm will, in every instance, complete Section B of the form.
- Additional firearms purchases made by the same buyer may not be added to this form after the seller has signed and dated it.
- 9. If more than six firearms are involved, the identification required by Section B, Items 11 through 15, must be provided for each firearm. The identification of the firearms transferred in a transaction which covers more than six weapons may be on a separate sheet of paper which must be attached to the form covering the transaction.
- In addition to completing this record, you must report any multiple sale or other disposition of pistols or revolvers on ATF F 3310.4 in accordance with 27 CFR 178,126a.
- 11. The transferor (seller) of a firearm is responsible for determining the lawfulness of the transaction and for keeping proper records of the transaction. Consequently, the transferor should be familiar with the provisions of 18 U.S.C. 921-929 and the Federal firearms regulations, Title 27, Code of Federal Regulations, Part 178. In determining the lawfulness of the sale or delivery of a rifle or shotgun to a non-resident, the transferor is presumed to know applicable State laws and published ordinances in both States.
- 12. After you have completed the firearm transaction, you must make the completed, original copy of the ATF F 4473, Part I part of your permanent firearms records including any supporting documents. Filing may be chronological (by date), alphabetical (by name), or numerical (by transaction serial number), so long as all of your completed Forms 4473, Part I are filed in the same manner.

- Over-the-counter Transaction—The sale or other disposition of a firearm by the transferor (seiler) to a transferee (buyer), occurring on the transferor's licensed premises. This includes the sale or other disposition of a rifle or a shotgun to a non-resident transferee (buyer) occurring on such premises.
- 2. Published Ordinances—The publication (ATF P 5300.5) containing State firearms laws and local ordinances which is annually distributed to Federal firearms licensees by the Bureau of Alcohol, Tobacco and Firearms.
- Under Indictment or convicted in any court—An indictment or conviction in any Federal, State or Foreign court.

Case 6:15-cv-06765-FPG Document 13 Filed 04/27/16 Page 31 of 40 Form Approved: OMB No. 1512-0129 DEPARTMENT OF THE TREASURY — BUREAU OF ALCOHOL, TOBACCO, AND FIREARMS TRANSACTION RECORD TRANSFEROR'S TRANSACTION SERIAL NUMBER PART I - OVER-THE-COUNTER NOTE: Prepare in original only. All entries on this form must be in ink. See Notices and Instructions on back. SECTION A — MUST BE COMPLETED PERSONALLY BY TRANSFEREE (BUYER) (See Notices and Instructions on reverse) 1. TRANSFEREE'S (Buyer's) NAME (Last, First, Middle) 2. HEIGHT 3. WEIGHT 4. RACE ☐ MALE □ FEMALE 5. RESIDENCE ADDRESS (No., Street, City, County, State, ZIP Code) 6. DATE OF BIRTH 7. PLACE OF BIRTH (City and State or City and Foreign MONTH DAY YEAR 8. CERTIFICATION OF TRANSFEREE (Buyer)—An untruthful answer may subject you to criminal prosecution. Each question must be answered with a "yes" or a "no" inserted in the box at the right of the question: a. Are you under indictment or information* in any court for c. Are you a fugitive from justice? a crime punishable by imprisonment for a term exceeding one year? *A formal accusation of a crime made by a d. Are you an unlawful user of, or addicted to, prosecuting attorney, as distinguished from an indictment marijuana, or any depressant, stimulant, or presented by a grand jury. narcotic drug, or any other controlled substance? b. Have you been convicted in any court of a crime punishable by imprisonment for a term exceeding one e. Have you ever been adjudicated mentally defective or year? (NOTE: A "yes" answer is necessary if the judge have you ever been committed to a mental institution? could have given a sentence of more than one year. A Have you been discharged from the Armed Forces under "yes" answer is not required if you have been pardoned for the crime or the conviction has been expunged or set dishonorable conditions? aside, or you have had your civil rights restored and. g. Are you an alien illegally in the United States? under the law where the conviction occurred, you are not h. Are you a person who, having been a citizen of the prohibited from receiving or possessing any firearm). United States, has renounced his/her citizenship. I hereby certify that the answers to the above are true and correct. I understand that a person who answers "Yes" to any of the above questions is prohibited from purchasing and/or possessing a firearm, except as otherwise provided by Federal law. I also understand that the making of any false oral or written statement or the exhibiting of any false or misrepresented identification with respect to this transaction is a crime punishable as a TRANSFEREE'S (Buyer's) SIGNATURE - EXECUTE AT TIME OF ACTUAL TRANSFER OF DATE FIREARM(S) SECTION B — TO BE COMPLETED BY TRANSFEROR (SELLER) (See Notices and Instructions on reverse) THIS PERSON DESCRIBED IN SECTION A: ☐ IS KNOWN TO ME $\ \square$ HAS IDENTIFIED HIMSELF/HERSELF TO ME IN THE FOLLOWING MANNER TYPE OF IDENTIFICATION (Driver's license or identification 10. NUMBER ON IDENTIFICATION which shows name, date of birth, place of residence, and signature.) On the basis of (1) the statements in Section A; (2) the verification of identity noted in Section B; and (3) the information in the current list of Published Ordinances, it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) described below and on the back to the person identified in Section A. 11. 12. 13. 14. TYPE (Pistol, Revolver, Rifle, MODEL CALIBER OR SERIAL NO. MANUFACTURER (and importer, if any) Shotgun, etc.) **GAUGE** 16. TRADE/CORPORATE NAME AND ADDRESS OF TRANSFEROR (Seller) 17. FEDERAL FIREARMS LICENSE NO. (Hand stamp may be used) (Hand stamp may be used)

THE PERSON MAKING THE ACTUAL FIREARMS SALE MUST COMPLETE ITEMS 18 THROUGH 20

19. TRANSFEROR'S TITLE

20. TRANSACTION DATE

18. TRANSFEROR'S (Seller's) SIGNATURE - EXECUTE

AT TIME OF ACTUAL TRANSFER OF FIREARM(S)

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12. MODEL	13. CALIBER OR GAUGE	14. SERIAL NO.	15. MANUFACTURER (and importer, if any)
<u> </u>			
			
		MODEL CALIBER OR	MODEL CALIBER OR

PAPERWORK REDUCTION ACT NOTICE. The information required on this form is in accordance with the Paperwork Reduction Act of 1980. The purpose of the information is to determine the eligibility of the buyer (transferee) to receive firearms under Federal Law, The information on this form is required by 18 U.S.C. 922.

The estimated average burden associated with this collection is 4 hours per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to Reports Management Officer, Information Project (1512-0129), Washington, D.C., 20503.

IMPORTANT NOTICES TO TRANSFEROR (SELLER) AND TRANSFEREE (BUYER)

- 1. Under 18 U.S.C. 921-929 firearms may not be sold to or received by certain persons. The information and certification on this form are designed so that a person licensed under 18 U.S.C. 921-929 may determine if he may lawfully sell or deliver a firearm to the person identified in Section A, and to alert the transferee (buyer) of certain restrictions on the receipt and possession of firearms. This form should not be used for sales or transfers where neither person is licensed under 18 U.S.C. 921-929.
- 2. WARNING—The sale or delivery of a firearm by a licensee to an eligible purchaser who is acting as an agent, intermediary or 'straw purchaser' for someone whom the licensee knows or has reasonable cause to believe is ineligible to purchase a firearm directly, may result in a violation of the Federal firearms laws.
- 3. The transferee (buyer) of a firearm should be familiar with the provisions of law. Generally, 18 U.S.C. 921-929 prohibit the shipment, transportation, receipt, or possession in or affecting interstate commerce of a firearm by one who is under indictment or information for, or who has been convicted of, a crime punishable by imprisonment for a term exceeding one year, by one who is a fugitive from justice, by one who is an unlawful user of, or addicted to, marihuana, or any depressant, stimulant, or narcotic drug, or any other controlled substance, by one who has been adjudicated mentally defective or has been committed to a mental institution, by one who has been discharged from the Armed Forces under dishonorable conditions, by one who, having been a citizen of the United States, has renounced his citizenship, or by one who is an alient illegally in the United States.

EXCEPTION: For one who has been convicted of a crime punishable by imprisonment for a term exceeding one year, the prohibition does not apply if that individual has received a pardon for the crime or the conviction has been expunged or set aside or under the law where the conviction occurred that individual has had his/her civil rights restored and as a result of the civil rights restoration is not prohibited from receiving or possessing firearms.

KNOW YOUR CUSTOMER—Before a licensee may sell or deliver a firearm to a nonlicensee, the licensee must establish the identity, place of residence, and age of the buyer. Satisfactory identification should verify the buyer's name, date of birth, address, and signature. Thus, a driver's license or identification card issued by a State in place of a license is particularly appropriate. Social Security cards are not acceptable because no address or date of birth is shown on the card. Also, alien registration receipt cards and military identification cards are not acceptable by themselves because the State of residence is not shown on the cards. However, although a particular document may not be sufficient to meet the statutory requirement for identifying the buyer, any combination of documents which together disclose the required information concerning the buyer is acceptable.

INSTRUCTIONS TO TRANSFEREE (BUYER)

4. The buyer (transferee) of a firearm will, in every instance, personelly complete Section A of the form and certify (sign) that the answers are true and correct. However, if the buyer is unable to read and/or write, the answers may be written by other persons, excluding the dealer. Two persons (other than the dealer) will then sign as witnesses to the buyer's answers and signature.

5. When the transferee (buyer) of a firearms is a corporation, company, association, partnership or other such business entity, an officer authorized to act on behalf of the business will complete and sign Section A of the form and attach a written statement, executed under penalties of perjury, stating (a) that the firearm is being acquired for the use of and will be the property of that business entity, and (b) the name and address of that business entity.

INSTRUCTIONS TO TRANSFEROR (SELLER)

- Should the buyer's name be illegible the seller shall print the buyer's name above the name printed by the buyer.
- 7. The transferor (seller) of a firearm will, in every instance, complete Section B of the form.
- 8. Additional firearms purchases made by the same buyer may not be added to this form after the seller has signed and dated it.
- 9. If more than six firearms are involved, the identification required by Section B, Items 11 through 15, must be provided for each firearm. The identification of the firearms transferred in a transaction which covers more than six weapons may be on a separate sheet of paper which must be attached to the form covering the transaction.
- 10. In addition to completing this record, you must report any multiple sale or other disposition of pistols or revolvers on ATF F 3310.4 in accordance with 27 CFR 178, 126a.
- 11. The transferor (seller) of a firearm is responsible for determining the lawfulness of the transaction and for keeping proper records of the transaction. Consequently, the transferor should be familiar with the provisions of 18 U.S.C. 921-929 and the Federal firearms regulations, Title 27, Code of Federal Regulations, Part 178, in determining the lawfulness of the sale or delivery of a rifle or shotgun to a non-resident, the transferor is presumed to know applicable State laws and published ordinances in both States.
- 12. After you have completed the firearm transaction, you must make the completed, original copy of the ATF F 4473, Part I part of your permanent firearms records including any supporting documents, Filing may be chronological (by date), alphabetical (by name), or numerical (by transaction serial number), so long as all of your completed Forms 4473, Part I are filed in the same manner.

- 1. Over-the-counter Transaction—The sale or other disposition of a firearm by the transferor (seller) to a transferee (buyer), occurring on the transferor's licensed premises. This includes the sale or other disposition of a riffe or a shotgun to a non-resident transferee (buyer) occuring on such premises.
- 2. Published Ordinances.—The publication (ATF P 5300.5) containing State firearms laws and local ordinances which is annually distributed to Federal firearms licensees by the Bureau of Alcohol, Tobacco and Firearms.
- 3. Under indictment or convicted in any court—An indictment or conviction in any Federal, State or Foreign Court.

Form Approved: OMB No. 1512-0129 (02/28/97) DEPARTMENT OF THE TREASURY TRANSFEROR'S TRANSACTION **SERIAL NUMBER** BUREAU OF ALCOHOL, TOBACCO AND FIREARMS FIREARMS TRANSACTION RECORD PART I - OVER-THE-COUNTER NOTE: Prepare in original only. All entries on this form must be in ink. See Notices and Instructions on back. SECTION A - MUST BE COMPLETED PERSONALLY BY TRANSFEREE (BUYER). WHERE TRANSACTION IS A SALE, THIS SECTION MUST BE COMPLETED BY ACTUAL BUYER. READ NOTICES AND INSTRUCTIONS ON REVERSE, INCLUDING WARNING ABOUT ILLEGAL "STRAW PURCHASES" IN PARAGRAPH 2. 1. TRANSFEREE'S (Buyer's) NAME (Last, First, Middle) 2. HEIGHT 3. WEIGHT 4. RACE MALE **FEMALE** 5. RESIDENCE ADDRESS (No., Street, City, County, State, ZIP Code) 6. DATE OF BIRTH 7. PLACE OF BIRTH MONTH YEAR City_ DAY State or Foreign Country 8. CERTIFICATION OF TRANSFEREE (Buyer) -- An untruthful answer may subject you to criminal prosecution. Each question must be answered with a "yes" or a "no" inserted in the box at the right of the question: a. Are you under indictment or information* in any court for a c. Are you a fugitive from justice? crime punishable by imprisonment for a term exceeding one d. Are you an unlawful user of, or addicted to, marijuana, or any depressant, year? *A formal accusation of a crime made by a prosecuting attorney, as distinguished from an indictment presented stimulant, or narcotic drug, or any other controlled substance? by a grand jury. Have you ever been adjudicated mentally defective or have you been committed to a mental institution? b. Have you been convicted in any court of a crime punishable Have you been discharged from the Armed Forces under dishonorable by imprisonment for a term exceeding one year? (NOTE: A conditions? "yes" answer is necessary if the judge could have given a Are you an alien illegally in the United States? sentence of more than one year. A "yes" answer is not required if you have been pardoned for the crime or the h. Are you a person who, having been a citizen of the United States, has conviction has been expunged or set aside, or you have had renouncedhis/hercitizenship? your civil rights restored and, under the law where the Are you subject to a court order restraining you from harrassing, stalking, conviction occurred, you are not prohibited from receiving or or threatening an intimate partner or child of such partner? (See paragraph possessing any firearm). 4 on reverse). I HEREBY CERTIFY THAT THE ANSWERS TO THE ABOVE ARE TRUE AND CORRECT. IF THE TRANSACTION IS A SALE, I ALSO CERTIFY THAT I AM THE ACTUAL BUYER. I ALSO UNDERSTAND THAT A PERSON WHO ANSWERS "YES" TO ANY OF THE ABOVE QUESTIONS IS PROHIBITED FROM PURCHASING AND/OR POSSESSING A FIREARM, EXCEPT AS OTHERWISE PROVIDED BY FEDERAL LAW. I ALSO UNDERSTAND THAT THE MAKING OF ANY FALSE ORAL OR WRITTEN STATEMENT OR THE EXHIBITING OF ANY FALSE OR MISREPRESENTED IDENTIFICATION. WITH RESPECT TO THIS TRANSACTION IS A CRIME PUNISHABLE AS A FELONY. TRANSFEREE'S (Buyer's) SIGNATURE - EXECUTE AT TIME OF ACTUAL TRANSFER OF FIREARM(S) DATE SECTION B - TO BE COMPLETED BY TRANSFEROR (SELLER). READ NOTICE AND INSTRUCTIONS ON REVERSE. THIS PERSON DESCRIBED IN SECTION A HAS IDENTIFIED HIMSELF/HERSELF TO ME IN THE FOLLOWING MANNER 9. TYPE OF IDENTIFICATION (Driver's license or identification 10. NUMBER ON IDENTIFICATION which shows name, date of birth, place of residence, and signature.) On the basis of (1) the statements in Section A; (2) the verification of identity noted in Section B; and (3) the information in the current list of Published Ordinances, it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) described below to the person identified in Section A. 13. 12. 14. 15.

it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) described below to the person identified in Section A.

11.

TYPE (Pistol, Revolver, Rifle, Shotgun, etc.)

12.

MODEL

13.

CALIBER OR GAUGE

SERIAL NO.

MANUFACTURER (and importer, if any)

1.

2.

3.

Complete ATF F 3310.4 for multiple purchases of handguns (see item 11 on the back)

 TRADE/CORPORATE NAME AND ADDRESS OF TRANSFEROR (Seller) (Hand stamp may be used) FEDERAL FIREARMS LICENSE NO. (Hand stamp may be used)

THE PERSON MAKING THE ACTUAL FIREARMS SALE MUST COMPLETE ITEMS 18 THROUGH 20.

18. TRANSFEROR'S (Sellers) SIGNATURE - EXECUTE AT TIME OF ACTUAL TRANSFER OF FIREARM(S) 19. TRANSFEROR'STITLE

20. TRANSACTION DATE

IMPORTANT NOTICES TO TRANSFEROR (SELLER) AND TRANSFEREE (BUYER)

- 1. Under 18 U.S.C. 921-929 firearms may not be sold to or received by certain persons. The information and certification on this form are designed so that a person licensed under 18 U.S.C. 921-929 may determine if he may lawfully sell or deliver a firearm to the person identified in Section A, and to alert the transferee (buyer) of certain restrictions on the receipt and possession of firearms. This form should not be used for sales or transfers where neither person is licensed under 18 U.S.C. 921-929.
- 2. WARNING—A licensee who knowingly sells or delivers a firearm to a straw purchaser violates Federal firearms laws. A "straw purchase" occurs when the actual buyer uses another person (the straw purchaser) to execute an ATF Form 4473 purporting to show that the straw purchaser is the actual buyer. Straw purchases also place the straw purchaser and the actual buyer in violation of law. Although the actual buyer may be eligible to receive firearms, a straw purchase results in the falsification of the licensee's records with respect to the identity of the actual buyer.
- 3. The transferee (buyer) of a firearm should be familiar with the provisions of law. Generally, 18 U.S.C. 921-929 prohibit the shipment, transportation, receipt, or possession in or affecting interstate commerce of a firearm by one who is under indictment or information for, or who has been convicted of, a crime punishable by imprisonment for a term exceeding one year, by one who is a fugitive from justice, by one who is an untawful user of, or addicted to, marijuana, or any depressant, stimulant, or narcotic drug, or any other controlled substance, by one who has been adjudicated mentally defective or has been committed to a mental institution, by one who has been discharged from the Armed Forces under dishonorable conditions, by one who, having been a citizen of the United States, has renounced his citizenship, or by who is an alien illegally in the United States. "Conviction of a crime punishable by imprisonment for a term exceeding one year", includes felonies, and state offenses classified by the laws of the state as a misdemeanor that are punishable by more than 2 years in prison.

EXCEPTION: For one who has been convicted of a crime punishable by imprisonment for a term exceeding one year, the prohibition does not apply if, under the law where the conviction occurred, the individual has received a pardon for the crime, the conviction has been expunged or set aside, or the person has had his/her civil rights restored, AND the person is not prohibited by the law of the jurisdiction in which the proceedings were held from receiving or possessing any firearms.

KNOW YOUR CUSTOMER—Before a licensee may sell or deliver a firearm to a nonlicensee, the licensee must establish the identity, place of residence, and age of the buyer. Satisfactory identification should verify the buyer's name, date of birth, address, and signature. Thus, a driver's license or identification card issued by a State in place of a license is particularly appropriate. Social Security cards are not acceptable because no address or date of birth is shown on the card. Also, alien registration receipt cards and military identification cards are not acceptable by themselves because the State of residence is not shown on the cards. However, although a particular document may not be sufficient to meet the statutory requirement for identifying the buyer, any combination of documents which together disclose the required information concerning the buyer is acceptable.

4. Under 18 U.S.C. 922 firearms may not be sold to or received by persons subject to a court order that: (A) was issued after a hearing of which the person received actual notice and had an opportunity to participate; (B) restraining such person from harrassing, stalking or threatening an intimate partner or child of such intimate partner or person, or engaging in other conduct that would place an intimate partner in reasonable fear of bodily injury to the partner or child; and (C) (i) includes a finding that such person represents a credible threat to the physical safety of such intimate partner or child; or (ii) by its terms explicity prohibits the use, attempted use, or threatened use of physical force against such intimate partner or child that would reasonably be expected to cause bodily injury.

INSTRUCTIONS TO TRANSFEREE (BUYER)

- 5. The buyer (transferee) of a firearm will, in every instance, personally complete Section A of the form and certify (sign) that the answers are true and correct. However, if the buyer is unable to read and/or write, the answers may be written by other persons, excluding the dealer. Two persons (other than the dealer) will then sign as witnesses to the buyer's answers and signature.
- 6. When the transferee (buyer) of a firearm(s) is a corporation, company, association, partnership or other such business entity, an officer authorized to act on behalf of the business will complete and sign Section A of the form and attach a written statement, executed under penalties of perjury, stating (a) that the firearm(s) is being acquired for the use of and will be the property of that business entity, and (b) the name and address of that business entity.

INSTRUCTIONS TO TRANSFEROR (SELLER)

- 7. Should the buyer's name be illegible the seller shall print the buyer's name above the name printed by the buyer.
- 8. The transferor (seller) of a firearm will, in every instance, complete Section B of the form.
- 9. Additional firearms purchases made by the same buyer may not be added to this form after the seller has signed and dated it.
- 10. If more than four firearms are involved, the identification required by Section B, Items 11 through 15, must be provided for each firearm. The identification of the firearms transferred in a transaction which covers more than four weapons may be on a separate sheet of paper which must be attached to the form covering the transaction.
- 11. In addition to completing this record, you must report any multiple sale or other disposition of pistols or revolvers on ATF F 3310.4 in accordance with 27 CFR 178.126a.
- 12. The transferor (seller) of a firearm is responsible for determining the lawfulness of the transaction and for keeping proper records of the transaction. Consequently, the transferor should be familiar with the provisions of 18 U.S.C. 921-929 and the Federal firearms regulations, Title 27, Code of Federal Regulations, Part 178. In determining the lawfulness of the sale or delivery of a rifle or shotgun to a non-resident, the transferor is presumed to know applicable State laws and published ordinances in both States.
- 13. After you have completed the firearm transaction, you must make the completed, original copy of the ATF F 4473, Part I part of your permanent firearms records including any supporting documents. Filing may be chronological (by date), alphabetical (by name), or numerical (by transaction serial number), so long as all of your completed Forms 4473, Part I are filed in the same manner.

DEFINITIONS

- 1. Over-the-counter Transaction—The sale or other disposition of a firearm by the transferor (seller) to a transferee (buyer), occurring on the transferor's licensed premises. This includes the sale or other disposition of a rifle or a shotgun to a non-resident transferee (buyer) occurring on such premises.
- Published Ordinarices--The publication (ATF P 5300.5) containing State firearms laws and local ordinances which is annually distributed to Federal firearms licensees by the Bureau of Alcohol, Tobacco and Firearms.
- 3. Under indictment or convicted in any court—An indictment or conviction in any Federal, State or Foreign court.
- 4. Intimate Partner--With respect to a person, the spouse of the person, a former spouse of the person, an individual who is a parent of a child of the person, and an individual who cohabitates or has cohabitated with the person.

PAPERWORK REDUCTION ACT NOTICE The Information required on this form is in accordance with the Paperwork Reduction Act of 1980. The purpose of the information is to determine the eligibility of the buyer (transferee) to receive firearms under Federal Law. The information is subject to inspection by ATF officers. The information on this form is

The estimated average burden associated with this collection is 5-minutes-per-respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to Reports Management Officer, Information Programs Branch, Bureau of Alcohol, Tobacco and Firearms, Washington, D.C., 20226, and the Office of Management and Budget, Paperwork Reduction Project (1512-0129), Washington, D.C., 20503.

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DEPARTMENT OF THE TREASURY

FORM APPRO	VED: OMB NO. 1512-0129	(02/28/97)
	TRANSFEROR'S TRANSAC	TION

	BUREAU O	F ALCOHOL, TOBAC	CO AN	D FIREARMS				ERIAL NUMBER	MANSACTION
FIREARI	MS TRANSACT	ION RECORD P	ART	- OVER-THE-COL	INTER	1			
NOTE: Prepare in origin								ns	
1. TRANSFEREE'S (Bu)	Ver's) NAME (Last I	First Middle	MPLEIE	D PERSONALLY BY T	RANSF	EREE (B	<u>_</u>	10.11/5/5/5	T . =
	yor oy termine (2009)	not, mudiej		MALE F	EMALE	2. HEIG	HI	3. WEIGHT	4. RACE
5. RESIDENCE ADDRE	SS (No., Street, City	, County, State, ZIP C	Code)		6. D	ATE OF	BIRTH	7. PLACE OF B	IRTH (City and
					MONTI	DAY	YEAR	State or Fore	
8. CERTIFICATION OF	TRANSFEREE (Bu	yer) - Each question n	nust be	answered with a "ves" or	a "no" ii	nserted in	the hos	at the right of the	duastion
a. I am the actual buyer stand that if I answer transfer the firearm to	of the firearm indica no to this question t	ited below, _l under- he dealer cannot	f	. Have you ever been a mitted to a mental inst	djudicat itution?	ed menta	lly defe	ctive or have you l	peen com-
b. Are you under indictor	nent or information i	n any court for a		J. Have you been discha conditions?	rged fro	m the Arr	ned For	ces under dishor	orable
one year? An informa			1	. Are you an alien illega	ally in th	e United S	States?		
made by a prosecutin	· ·		i	Have you ever renoun	ced you	r United S	States ci	tizenship?	
c. Have you been convic			j	. Are you subject to a c	ourt ord	er restrair	ning you	from harassing.	stalking. or
judge could have impr if the judge actually ga Important Notice 3 and	ave you a shorter se	than one year, even ntence? <i>(See</i>		threatening an intimat Notice 4 and Definition	e partne n 4.)	rorchild	of such	partner? (See Im	portant
d. Are you a fugitive from	,		*	. Have you been convic violence? This include	ted in a	ny court o nisdemaa	famisd	emeanor crime of	domestic
e. Are you an unlawful us	ser of, or addicted to		\vdash	 attempted use of physic 	ical forc	e commit	ted by a	current or former	spouse.
depressant, stimulant substance? I CERTIFY THAT THE A	-	,		parent, or guardian of the victim. (See Impo	the viction Intant No	m or by a tice 5 and	person Definiti	with a similar relat on 5.)	ionship with
ANY FALSE ORAL OR N THIS TRANSACTION IS THE PURPOSE OF RES TRANSFEREE'S (Buyer)	A CRIME PUNISHA ALE FOR PROFIT	ABLE AS A FELONY	. I FUR	THER UNDERSTAND T	HAT MY	/ REPETI	TIVE D	DAT	REARMS FOR
		SECTION B - TO BE	COMP	LETED BY TRANSFER	OR (SE	LLER)			
THE PERSON DESCRIE	BED IN THIS SECTI	ON HAS IDENTIFIED	HIMSE	LF/HERSELF TO ME IN	THEF	DLLOWIN	G MAN	NER:	
 TYPE OF IDENTIFICATION signature.) 	DN (Driver's license or	identification which show	s пате, с	late of birth, place of residen	ce, and	10. NU	JMBER	ON IDENTIFICAT	ION
On the basis of (1) the sta Ordinances, it is my belie the person identified in Sa	it that it is not unlaw	A; (2) the verification ful for me to sell, deliv	of ident er, tran	ity noted in Section B; a sport, or otherwise dispo	nd (3) th	e informa e firearm	ation in t (s), des	he current list of cribed below and	Published on the back, to
11.	12. ·	13.				1		15,	-1
TYPE (Pistol, Revolver, Rifle, Shotgun, etc.)	MODEL	CALIBER OR GAUGE		14. SERIAL NO.			****	MANUFACTU (and importer,	
1,]			
2.									
3.									417
4	*								
	Complete ATF F	3310.4 for multiple	purch	ases of handguns <i>(Se</i>	e Instru	ction to	Transf	eror 5.)	
 TRADE/CORPORAT stamp may be used.) 		RESS OF TRANSFE	ROR <i>(S</i>	eller) (Hand 17. FED usec		REARM	SLICEN	ISE NO. (Hand st	amp may be
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IMPORTANT NOTICES

- 1. WARNING The Federal firearms laws require that the individual filling out this form must be buying the firearm for himself or herself or as a gift. Any individual who is not buying the firearm for himself or herself or as a gift, but who completes this form, violates the law. Example: Mr. Smith asks Mr. Jones to purchase a firearm for Mr. Smith. Mr. Smith gives Mr. Jones the money for the firearm. If Mr. Jones fills out this form, he will violate the law. However, if Mr. Jones buys a firearm with his own money to give to Mr. Smith as a birthday present, Mr. Jones may lawfully complete this form. A licensee who knowingly delivers a firearm to an individual who is not buying the firearm for himself or herself or as a gift violates the law by maintaining a false Form 4473.
- 2. Under 18 U.S.C. 922 firearms may not be sold to or received by certain persons. The information and certification on this form are designed so that a person licensed under 18 U.S.C. 923 may determine if he may lawfully sell or deliver a firearm to the person identified in Section A, and to alert the transferee (buyer) of certain restrictions on the receipt and possession of firearms. This form should not be used for sales or transfers where neither person is licensed under 18 U.S.C. 923.
- 3. The transferee (buyer) of a firearm should be familiar with the provisions of law. Generally, 18 U.S.C. 922 prohibits the shipment, transportation, receipt, or possession in or affecting interstate commerce of a firearm by one who is under indictment or information for, or who has been convicted of, a crime punishable by imprisonment for a term exceeding one year, by one who is a fugitive from justice; by one who is an unlawful user of, or addicted to, marijuana, or any depressant, stimulant, or narcotic drug, or any other controlled substance; by one who has been adjudicated mentally defective or has been committed to a mental institution; by one who has been discharged from the Armed Forces under dishonorable conditions; by one who has renounced his U.S. citizenship; by one who is an alien illegally in the United States; by one who is subject to certain restraining orders; or by one who has been convicted of a misdemeanor crime of domestic violence.

EXCEPTION: For one who has been convicted of a crime for which the judge could have imprisoned the individual for more than one year, or has been convicted of a misdemeanor crime of domestic violence, the prohibition does not apply if, under the law where the conviction occurred, the individual has been pardoned for the crime, or the conviction has been expunged or set aside, or the person has had civil rights restored, AND the person is not prohibited by the law of the jurisdiction where the conviction occurred from receiving or possessing any firearms.

- 4. Under 18 U.S.C. 922 firearms may not be sold to or received by persons subject to a court order that: (A) was issued after a hearing of which the person received actual notice and had an opportunity to participate; (B) restrains such person from harassing, stalking or threatening an intimate partner or child of such intimate partner or person, or engaging in other conduct that would place an intimate partner in reasonable fear of bodily injury to the partner or child; and (C)(i) includes a finding that such person represents a credible threat to the physical safety of such intimate partner or child, or (ii) by its terms explicitly prohibits the use, attempted use, or threatened use of physical force against such intimate partner or child that would reasonably be expected to cause bodily injury.
- 5. Under 18 U.S.C. 922 firearms may not be sold to or received by persons who have been convicted of a misdemeanor crime of domestic violence. The person is NOT considered to have been convicted of such crime unless the person was represented by a lawyer or gave up the right to a lawyer, and, if the person was entitled to a jury trial, was tried by a jury or gave up the right to a jury trial.

INSTRUCTIONS TO TRANSFEREE (BUYER)

The buyer (transferee) of a firearm must personally complete Section A
of this form and certify (sign) that the answers are true and correct.
However, if the buyer is unable to read and/or write, the answers may be
written by other persons, excluding the dealer. Two persons (other than
the dealer) will then sign as witnesses to the buyer's answers and signature.

2. When the transferee (buyer) of a firearm(s) is a corporation, company, association, partnership or other such business entity, an officer authorized to act on behalf of the business must complete and sign Section A of the form and attach a written statement, executed under penalties of perjury, stating (A) that the firearm(s) is being acquired for the use of and will be the property of that business entity, and (B) the name and address of that business entity.

INSTRUCTIONS TO TRANSFEROR (SELLER)

KNOW YOUR CUSTOMER--Before a licensee may sell or deliver a firearm to a non-licensee, the licensee must establish the identity, place of residence, and age of the buyer. The buyer's name, date of birth, address, and signature must be verified with satisfactory identification. A driver's license or identification card issued by a State in place of a license is particularly appropriate. Social Security cards are not acceptable because no address or date of birth is shown on the card. Alien registration receipt cards and military identification cards are not acceptable by themselves because the State of residence is not shown on the cards. However, although a particular document may not be sufficient by itself to meet the statutory requirement for identifying the buyer, any combination of documents which together disclose the required information is acceptable.

- If the buyer's name is illegible, the seller must print the buyer's name above the name printed by the buyer.
- 2. The transferor (seller) of a firearm must, in every instance, complete Section B of the form.
- Additional firearms purchases made by the same buyer may not be added to this form after the seller has signed and dated it.
- 4. If more than four firearms are involved, the identification required by Section B, Items 11 through 15, must be provided for each firearm. The identification of the firearms transferred in a transaction which covers more than four weapons may be on a separate sheet of paper which must be attached to the form covering the transaction.
- In addition to completing this form, you must report any multiple sale or other disposition of pistols or revolvers on ATF F 3310.4 in accordance with 27 CFR 178.126a.
- 6. The transferor (seller) of a firearm is responsible for determining the law-fulness of the transaction and for keeping proper records of the transaction. Consequently, the transferor should be familiar with the provisions of 18 U.S.C. 921-929 and the regulations, 27 CFR Part 178. In determining the lawfulness of the sale or delivery of a rifle or shotgun to a nonresident, the transferor is presumed to know applicable State laws and published ordinances in both States.
- 7. After you have completed the firearm transaction, you must make the completed, original copy of the ATF F 4473, Part I, and any supporting documents part of your permanent firearms records. Filing may be chronological (by date), alphabetical (by name), or numerical (by transaction serial number), so long as all of your completed Forms 4473, Part I, are filed in the same manner.

- Over-the-counter Transaction--The sale or other disposition of a firearm by the transferor (seller) to a transferee (buyer), occurring on the transferor's licensed premises. This includes the sale or other disposition of a rifle or a shotgun to a non-resident transferee (buyer) occurring on such premises.
- Published Ordinances--The publication (ATF P 5300.5) containing State firearms laws and local ordinances which is annually distributed to Federal firearms licensees by the Bureau of Alcohol, Tobacco and Firearms.
- Under indictment or convicted in any court -- An indictment or conviction in any Federal, State or foreign court.

- Intimate Partner -- With respect to a person, the spouse of the person, a
 former spouse of the person, an individual who is a parent of a child of the
 person, and an individual who cohabits or has cohabited with the person.
- 5. Misdemeanor Crime of Domestic Violence -- A crime that is a misdemeanor under Federal or State law and has, as an element, the use or attempted use of physical force, or the threatened use of a deadly weapon, committed by a current or former spouse, parent, or guardian of the victim, by a person with whom the victim shares a child in common, by a person who is cohabiting with or has cohabited with the victim as a spouse, parent, or guardian, or by a person similarly situated to a spouse, parent, or guardian of the victim. The term includes all misdemeanors that involve the use or attempted use of physical force (e.g., simple assault, assault and battery), if the offense is committed by one of the defined parties. The person is NOT considered to have been convicted of such crime unless the person was represented by a lawyer or gave up the right to a lawyer, and, if the person was entitled to a jury trial, was tried by a jury or gave up the right to a jury trial.

PAPERWORK REDUCTION ACT NOTICE

The information required on this form is in accordance with the Paperwork Reduction Act of 1995. The purpose of the information is to determine the eligibility of the buyer (transferee) to receive firearms under Federal law. The information is subject to inspection by ATF officers. The information on this form is required by 18 U.S.C. 922.

The estimated average burden associated with this collection is 6 minutes per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to Reports Management Officer, Document Services Branch, Bureau of Alcohol, Tobacco and Firearms, Washington, DC 20226.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

*U.S. Government Printing Office: 1997 - 515-765

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DEPARTMENT OF THE TREASURY

OMB NO. 1512-0129
TRANSFEROR'S TRANSACTION

FIREAR	MS TRANSAC	FALCOHOL, TOP	PART I	- OVER-T	HE-COU	NTFR		1	RIALNUMBER	₹	
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IMPORTANT NOTICES

1. WARNING - The Federal firearms laws require that the individual filling out this form must be buying the firearm for himself or herself or as a gift. Any individual who is not buying the firearm for himself or herself or as a gift, but who completes this form, violates the law. Example: Mr. Smith asks Mr. Jones to purchase a firearm for Mr. Smith. Mr. Smith gives Mr. Jones the money for the firearm. If Mr. Jones fills out this form, he will violate the law. However, if Mr. Jones buys a firearm with his own money to give to Mr. Smith as a birthday present, Mr. Jones may lawfully complete this form. A licensee who knowingly delivers a firearm to an individual who is not buying the firearm for himself or herself or as a gift violates the law by maintaining a false ATF F 4473.

Question 8a is not applicable to returns of firearms, e.g., holders of pawn tickets or consignors of firearms. Accordingly, such transferees should answer Question 8a as "N/A."

- 2. Under 18 U.S.C. 922 firearms may not be sold to or received by certain persons. The information and certification on this form are designed so that a person licensed under 18 U.S.C. 923 may determine if he may lawfully sell or deliver a firearm to the person identified in Section A, and to alert the transferee (buyer) of certain restrictions on the receipt and possession of firearms. This form should not be used for sales or transfers where neither person is licensed under 18 U.S.C. 923.
- 3. The transferee (buyer) of a firearm should be familiar with the provisions of law. Generally, 18 U.S.C. 922 prohibits the shipment, transportation, receipt, or possession in or affecting interstate commerce of a firearm by one who is under indictment or information for, or who has been convicted of, a crime punishable by imprisonment for a term exceeding one year; by one who is a fugitive from justice; by one who is an unlawful user of, or addicted to, marijuana, or any depressant, stimulant, or narcotic drug, or any other controlled substance; by one who has been adjudicated mentally defective or has been committed to a mental institution; by one who has been discharged from the Armed Forces under dishonorable conditions; by one who has renounced his U.S. citizenship; by one who is an alien illegally in the United States; by one who is subject to certain restraining orders; or by one who has been convicted of a misdemeanor crime of domestic violence.

EXCEPTION: For one who has been convicted of a crime for which the judge could have imprisoned the individual for more than one year, or has been convicted of a misdemeanor crime of domestic violence, the prohibition does not apply if, under the law where the conviction occurred, the individual has been pardoned for the crime, or the conviction has been expunged or set aside, or the person has had civil rights restored, AND the person is not prohibited by the law of the jurisdiction where the conviction occurred from receiving or possessing any firearms.

- 4. Under 18 U.S.C. 922 firearms may not be sold to or received by persons subject to a court order that: (A) was issued after a hearing of which the person received actual notice and had an opportunity to participate; (B) restrains such person from harassing, stalking or threatening an intimate partner or child of such intimate partner or person, or engaging in other conduct that would place an intimate partner in reasonable fear of bodily injury to the partner or child; and (C)(i) includes a finding that such person represents a credible threat to the physical safety of such intimate partner or child, or (ii) by its terms explicitly prohibits the use, attempted use, or threatened use of physical force against such intimate partner or child that would reasonably be expected to cause bodily injury.
- 5. Under 18 U.S.C. 922 firearms may not be sold to or received by persons who have been convicted of a misdemeanor crime of domestic violence. The person is NOT considered to have been convicted of such crime unless the person was represented by a lawyer or gave up the right to a lawyer, and, if the person was entitled to a jury trial, was tried by a jury or gave up the right to a jury trial.
- DO YOU NEED A FIREARMS LICENSE? Under 18 U.S.C. 922 and 923, it is unlawful for a person to engage in the business of dealing in firearms without a license. A person is engaged in the business of

dealing in firearms if he or she devotes time, attention, and labor to dealing in firearms as a regular course of trade or business with the principal objective of livelihood and profit through the repetitive purchase and resale of firearms. A license is not required of a person who only makes occasional sales, exchanges, or purchases of firearms for the enhancement of a personal collection or for a hobby, or who sells all or part of his or her personal collection of firearms.

 Persons acquiring firearms for the purpose of exportation should be aware that the State Department or Commerce Department may require a license to be obtained prior to exportation.

INSTRUCTIONS TO TRANSFEREE (BUYER)

- The buyer (transferee) of a firearm must personally complete Section A
 of this form and certify (sign) that the answers are true and correct.
 However, if the buyer is unable to read and/or write, the answers may be
 written by other persons, excluding the dealer. Two persons (other than
 the dealer) will then sign as witnesses to the buyer's answers and signature.
- When the transferee (buyer) of a firearm(s) is a corporation, company, association, partnership or other such business entity, an officer authorized to act on behalf of the business must complete and sign Section A of the form and attach a written statement, executed under penalties of perjury, stating (A) that the firearm(s) is being acquired for the use of and will be the property of that business entity, and (B) the name and address of that business entity.
- 3. When the transferee (buyer) is a government employee (for example, a police officer) acquiring a firearm for official duties, the officer must complete items 1 through 7, question 8k, and sign the certification in Section A. If the transferee (buyer) is a government employee acquiring a firearm for personal use, he or she must complete Section A in its entirety.

INSTRUCTIONS TO TRANSFEROR (SELLER)

- 1. KNOW YOUR CUSTOMER--Before a licensee may sell or deliver a firearm to a non-licensee, the licensee must establish the identity, place of residence, and age of the buyer. The buyer's name, date of birth, address, and signature must be verified with satisfactory identification. A driver's license or identification card issued by a State in place of a license is particularly appropriate. Social Security cards are not acceptable because no address or date of birth is shown on the card. Military identification cards are not acceptable by themselves because the State of residence is not shown on the cards. However, although a particular document may not be sufficient by itself to meet the statutory requirement for identifying the buyer, any combination of documents which together disclose the required information is acceptable. (See Instruction to Transferor 2 for additional identification requirements for alien transferees).
- 2. SALE OF FIREARMS TO ALIENS A transferee (buyer) who is not a citizen of the United States must provide additional identification in order to establish that he or she is a resident of a State. (See Definition 6). Such a transferee must provide a valid government-issued photo identification to the seller that contains the buyer's name, date of birth, and residence address. In addition, such a transferee must provide documentation such as utility bills or lease agreements that would establish that he or she has resided in a State for at least 90 days prior to the date of this sale.
- If the buyer's name is illegible, the seller must print the buyer's name above the name printed by the buyer.
- The transferor (seller) of a firearm must, in every instance, complete Section B of the form.
- Additional firearms purchases made by the same buyer may not be added to this form after the seller has signed and dated it.

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- 6. If more than four firearms are involved, the identification required by Section B, Items 11 through 15, must be provided for each firearm. The identification of the firearms transferred in a transaction which covers more than four weapons may be on a separate sheet of paper which must be attached to the form covering the transaction.
- In addition to completing this form, you must report any multiple sale or other disposition of pistols or revolvers on ATF F 3310.4 in accordance with 27 CFR 178.126a.
- 8. The transferor (seller) of a firearm is responsible for determining the law-fulness of the transaction and for keeping proper records of the transaction. Consequently, the transferor should be familiar with the provisions of 18 U.S.C. 921-929 and the regulations, 27 CFR Part 178. In determining the lawfulness of the sale or delivery of a rifle or shotgun to a nonresident, the transferor is presumed to know applicable State laws and published ordinances in both States.
- After you have completed the firearm transaction, you must make the
 completed, original copy of the ATF F 4473, Part I, and any supporting
 documents part of your permanent firearms records. Filing may be chronological (by date), alphabetical (by name), or numerical (by transaction
 serial number), so long as all of your completed Forms 4473, Part I, are
 filed in the same manner.

DEFINITIONS

- Over-the-counter Transaction--The sale or other disposition of a firearm
 by the transferor (seller) to a transferee (buyer), occurring on the transferor's licensed premises. This includes the sale or other disposition of a
 rifle or a shotgun to a non-resident transferee (buyer) occurring on such
 premises.
- Published Ordinances--The publication (ATF P 5300.5) containing State firearms laws and local ordinances which is annually distributed to Federal firearms licensees by the Bureau of Alcohol, Tobacco and Firearms.
- Under indictment or convicted in any court -- An indictment or conviction in any Federal, State or foreign court.
- Intimate Partner -- With respect to a person, the spouse of the person, a
 former spouse of the person, an individual who is a parent of a child of the
 person, and an individual who cohabits or has cohabited with the person.

- 5. Misdemeanor Crime of Domestic Violence -- A crime that is a misdemeanor under Federal or State law and has, as an element, the use or attempted use of physical force, or the threatened use of a deadly weapon, committed by a current or former spouse, parent, or guardian of the victim, by a person with whom the victim shares a child in common, by a person who is cohabiting with or has cohabited with the victim as a spouse, parent, or guardian, or by a person similarly situated to a spouse, parent, or guardian of the victim. The term includes all misdemeanors that involve the use or attempted use of physical force (e.g., simple assault, assault and battery), if the offense is committed by one of the defined parties. The person is NOT considered to have been convicted of such crime unless the person was represented by a lawyer or gave up the right to a lawyer, and, if the person was entitled to a jury trial, was tried by a jury or gave up the right to a jury trial.
- 6. State of Residence The State in which an individual resides. An individual resides in a State if he or she is present in a State with the intention of making a home in that State. If an individual is on active duty as a member of the Armed Forces, the individual's State of residence is the State in which his or her permanent duty station is located. An alien who is legally in the United States shall be considered to be a resident of a State only if the alien is residing in the State and has resided in the State for a period of at least 90 days prior to the date of sale or delivery of a firearm. The following are examples that illustrate this definition:

Example 1. A maintains a home in State X. A travels to State Y on a hunting, fishing, business, or other type of trip. A does not become a resident of State Y by reason of such trip.

Example 2. A is a U.S. citizen and maintains a home in State X and a home in State Y. A resides in State X except for weekends or the summer months of the year and in State Y for the weekends or the summer months of the year. During the time that A actually resides in State X, A is a resident of State X, and during the time that A actually resides in State Y, A is resident of State Y.

Example 3. A, an alien, travels on vaction or on a business trip to State X. Regardless of the length of the time A spends in State X, A does not have a State of residence in State X. This is because A does not have a home in State X at which he has resided for at least 90 days.

PAPERWORK REDUCTION ACT NOTICE

The information required on this form is in accordance with the Paperwork Reduction Act of 1995. The purpose of the information is to determine the eligibility of the buyer (transferee) to receive firearms under Federal law. The information is subject to inspection by ATF officers. The information on this form is required by 18 U.S.C. 922.

The estimated average burden associated with this collection is 6 minutes per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to Reports Management Officer, Document Services Branch, Bureau of Alcohol, Tobacco and Firearms, Washington, DC 20226.

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